**Part I Summary**

1. Briefly describe the organization’s mission or most significant activities: **THE MISSION OF THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN IS TO CREATE POSITIVE AND SUSTAINABLE CHANGE IN GREATER NEW HAVEN.**

2. Check this box if the organization discontinued its operations or disposed of more than 25% of its net assets.

3. Number of voting members of the governing body (Part VI, line 1a)

4. Number of independent voting members of the governing body (Part VI, line 1b)

5. Total number of individuals employed in calendar year 2015 (Part V, line 2a)

6. Total number of volunteers (estimate if necessary)

7a. Total unrelated business revenue from Part VIII, column (C), line 12

7b. Net unrelated business taxable income from Form 990-T, line 34

### Revenue

- **Contributions and grants (Part VIII, line 1h)**
- **Program service revenue (Part VIII, line 2g)**
- **Investment income (Part VIII, column (A), lines 3, 4, and 7d)**
- **Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)**
- **Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)**

### Expenses

- **Grants and similar amounts paid (Part IX, column (A), lines 1-3)**
- **Salaries, other compensation, employee benefits (Part IX, column (A), line 5)**
- **Professional fundraising fees (Part IX, column (A), line 11e)**
- **Total fundraising expenses (Part IX, column (D), line 25)**
- **Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)**
- **Revenue less expenses. Subtract line 12 from line 11**

### Net Assets of Fund Balances

- **Beginning of Year**: 4,430,230
- **End of Year**: 4,482,400

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

_Signature of officer_ ANDREW F. ALDEN, SR. VICE PRESIDENT AND CFO

_Type or print name and title_ MARY KAY CURTISS

**Preparer**

_Firm's name_ BLUM SHAPIRO & CO., P.C.

_Firm's address_ 29 SOUTH MAIN STREET

_West Hartford, CT 06107-2000_
Part III | Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

THE MISSION OF THE COMMUNITY FOUNDATION IS TO CREATE POSITIVE AND SUSTAINABLE CHANGE IN GREATER NEW HAVEN BY INCREASING THE AMOUNT AND ENHANCING THE IMPACT OF COMMUNITY PHILATHROPY.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? 

Yes ☐ No ☒

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes ☐ No ☒

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses $ 7,161,168. including grants of $ 6,463,369.) (Revenue $ )

EDUCATION: GRANTS THAT SUPPORT PROGRAMS AND SERVICES THAT PROVIDE QUALITY EDUCATION.

4b (Code: ) (Expenses $ 9,050,493. including grants of $ 8,168,595.) (Revenue $ )

HEALTH: GRANTS THAT SUPPORT PROGRAMS AND SERVICES THAT ENSURE HEALTH AND WELLNESS.

4c (Code: ) (Expenses $ 3,451,621. including grants of $ 3,115,288.) (Revenue $ )

CIVIC VITALITY: GRANTS THAT SUPPORT PROGRAMS AND SERVICES THAT PROMOTE CIVIC VITALITY.

4d Other program services (Describe in Schedule O.)

(Expenses $ 12,648,261. including grants of $ 11,415,790.) (Revenue $ )

4e Total program service expenses ▲ 32,311,543.
<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If &quot;Yes,&quot; complete Schedule A</td>
<td></td>
<td>1</td>
</tr>
<tr>
<td>2. Is the organization required to complete Schedule B, Schedule of Contributors?</td>
<td></td>
<td>2</td>
</tr>
<tr>
<td>3. Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If &quot;Yes,&quot; complete Schedule C, Part I</td>
<td></td>
<td>3</td>
</tr>
<tr>
<td>4. Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If &quot;Yes,&quot; complete Schedule C, Part II</td>
<td></td>
<td>4</td>
</tr>
<tr>
<td>5. Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If &quot;Yes,&quot; complete Schedule C, Part III</td>
<td></td>
<td>5</td>
</tr>
<tr>
<td>6. Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If &quot;Yes,&quot; complete Schedule D, Part I</td>
<td></td>
<td>6</td>
</tr>
<tr>
<td>7. Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If &quot;Yes,&quot; complete Schedule D, Part II</td>
<td></td>
<td>7</td>
</tr>
<tr>
<td>8. Did the organization maintain collections of works of art, historical treasures, or other similar assets? If &quot;Yes,&quot; complete Schedule D, Part III</td>
<td></td>
<td>8</td>
</tr>
<tr>
<td>9. Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If &quot;Yes,&quot; complete Schedule D, Part IV</td>
<td></td>
<td>9</td>
</tr>
<tr>
<td>10. Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If &quot;Yes,&quot; complete Schedule D, Part V</td>
<td></td>
<td>10</td>
</tr>
<tr>
<td>11. If the organization’s answer to any of the following questions is &quot;Yes,&quot; then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If &quot;Yes,&quot; complete Schedule D, Part VI</td>
<td></td>
<td>11a</td>
</tr>
<tr>
<td>b. Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If &quot;Yes,&quot; complete Schedule D, Part VII</td>
<td></td>
<td>11b</td>
</tr>
<tr>
<td>c. Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If &quot;Yes,&quot; complete Schedule D, Part VIII</td>
<td></td>
<td>11c</td>
</tr>
<tr>
<td>d. Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If &quot;Yes,&quot; complete Schedule D, Part IX</td>
<td></td>
<td>11d</td>
</tr>
<tr>
<td>e. Did the organization report an amount for other liabilities in Part X, line 25? If &quot;Yes,&quot; complete Schedule D, Part X</td>
<td></td>
<td>11e</td>
</tr>
<tr>
<td>f. Did the organization’s separate or consolidated financial statements for the tax year include a footnote that addresses the organization’s liability for uncertain tax positions under FIN 48 (ASC 740)? If &quot;Yes,&quot; complete Schedule D, Part X</td>
<td></td>
<td>11f</td>
</tr>
<tr>
<td>12a. Did the organization obtain separate, independent audited financial statements for the tax year? If &quot;Yes,&quot; complete Schedule D, Parts XI and XII</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Was the organization included in consolidated, independent audited financial statements for the tax year? If &quot;Yes,&quot; and if the organization answered &quot;No&quot; to line 12a, then completing Schedule D, Parts XI and XII is optional</td>
<td></td>
<td>12b</td>
</tr>
<tr>
<td>13. Is the organization a school described in section 170(b)(1)(A)(ii)? If &quot;Yes,&quot; complete Schedule E</td>
<td></td>
<td>13</td>
</tr>
<tr>
<td>14a. Did the organization maintain an office, employees, or agents outside of the United States?</td>
<td></td>
<td>14a</td>
</tr>
<tr>
<td>b. Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? If &quot;Yes,&quot; complete Schedule F, Parts I and IV</td>
<td></td>
<td>14b</td>
</tr>
<tr>
<td>15. Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? If &quot;Yes,&quot; complete Schedule F, Parts II and IV</td>
<td></td>
<td>15</td>
</tr>
<tr>
<td>16. Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? If &quot;Yes,&quot; complete Schedule F, Parts III and IV</td>
<td></td>
<td>16</td>
</tr>
<tr>
<td>17. Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If &quot;Yes,&quot; complete Schedule G, Part I</td>
<td></td>
<td>17</td>
</tr>
<tr>
<td>18. Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If &quot;Yes,&quot; complete Schedule G, Part II</td>
<td></td>
<td>18</td>
</tr>
<tr>
<td>19. Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? If &quot;Yes,&quot; complete Schedule G, Part III</td>
<td></td>
<td>19</td>
</tr>
</tbody>
</table>

Form 990 (2015)
### Part IV Checklist of Required Schedules (continued)

<table>
<thead>
<tr>
<th>Schedule</th>
<th>Section</th>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>H</td>
<td>20a</td>
<td>Did the organization operate one or more hospital facilities?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>I, Parts I and II</td>
<td>21</td>
<td>Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>I, Parts I and III</td>
<td>22</td>
<td>Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>J</td>
<td>23</td>
<td>Did the organization answer &quot;Yes&quot; to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>L, Part I</td>
<td>24a</td>
<td>Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>c</td>
<td>Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>d</td>
<td>Did the organization act as an &quot;on behalf of&quot; issuer for bonds outstanding at any time during the year?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>25a</td>
<td>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization’s prior Forms 990 or 990-EZ?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>26</td>
<td>Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>27</td>
<td>Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>28</td>
<td>Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a</td>
<td>A current or former officer, director, trustee, or key employee?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>A family member of a current or former officer, director, trustee, or key employee?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>c</td>
<td>An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>29</td>
<td>Did the organization receive more than $25,000 in non-cash contributions?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>30</td>
<td>Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>31</td>
<td>Did the organization liquidate, terminate, or dissolve and cease operations?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>32</td>
<td>Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>33</td>
<td>Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>34</td>
<td>Was the organization related to any tax-exempt or taxable entity?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>35a</td>
<td>Did the organization have a controlled entity within the meaning of section 512(b)(13)?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>If &quot;Yes&quot; to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>36</td>
<td>Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>37</td>
<td>Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td></td>
<td>38</td>
<td>Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?</td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>
### Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Enter the number reported in Box 3 of Form 1096. Enter ‘0’ if not applicable</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>1b</td>
<td>Enter the number of Forms W-2G included in line 1a. Enter ‘0’ if not applicable</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>1c</td>
<td>Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gambling winnings to prize winners?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>2a</td>
<td>Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>2b</td>
<td>If at least one is reported on line 2a, did the organization file all required federal employment tax returns?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>3a</td>
<td>Did the organization have unrelated business gross income of $1,000 or more during the year?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>3b</td>
<td>If ‘Yes,’ has it filed a Form 990-T for this year? If ‘No,’ to line 3b, provide an explanation in Schedule O</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>4a</td>
<td>At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>4b</td>
<td>If ‘Yes,’ enter the name of the foreign country: ► SEE SCHEDULE O</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>5a</td>
<td>Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>5b</td>
<td>Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>5c</td>
<td>If ‘Yes,’ to line 5a or 5b, did the organization file Form 8886-T?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>6a</td>
<td>Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>6b</td>
<td>If ‘Yes,’ did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>7a</td>
<td>Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>7b</td>
<td>If ‘Yes,’ did the organization notify the donor of the value of the goods or services provided?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>7c</td>
<td>Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>7d</td>
<td>If ‘Yes,’ indicate the number of Forms 8282 filed during the year</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>7e</td>
<td>Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>7f</td>
<td>Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>7g</td>
<td>If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>7h</td>
<td>If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>8</td>
<td>Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>9</td>
<td>Sponsoring organizations maintaining donor advised funds.</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>10</td>
<td>Section 501(c)(7) organizations. Enter:</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>10a</td>
<td>Initiation fees and capital contributions included on Part VIII, line 12</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>10b</td>
<td>Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>11</td>
<td>Section 501(c)(12) organizations. Enter:</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>11a</td>
<td>Gross income from members or shareholders</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>11b</td>
<td>Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>12a</td>
<td>Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>12b</td>
<td>If ‘Yes,’ enter the amount of tax-exempt interest received or accrued during the year</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>13</td>
<td>Section 501(c)(29) qualified nonprofit health insurance issuers.</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>13a</td>
<td>Is the organization licensed to issue qualified health plans in more than one state?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>13b</td>
<td>Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>13c</td>
<td>Enter the amount of reserves on hand</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>14a</td>
<td>Did the organization receive any payments for indoor tanning services during the tax year?</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
<tr>
<td>14b</td>
<td>If ‘Yes,’ has it filed a Form 720 to report these payments? If ‘No,’ provide an explanation in Schedule O</td>
<td>![Yes]</td>
<td>![No]</td>
</tr>
</tbody>
</table>
Part VI Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI.

Section A. Governing Body and Management

1a Enter the number of voting members of the governing body at the end of the tax year

1b Enter the number of voting members included in line 1a, above, who are independent

2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?

3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?

4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?

5 Did the organization become aware during the year of a significant diversion of the organization’s assets?

6 Did the organization have members or stockholders?

7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?

7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?

8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:

8a The governing body?

8b Each committee with authority to act on behalf of the governing body?

9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization’s mailing address? If "Yes," provide the names and addresses in Schedule O

Section B. Policies

(If this section requests information about policies not required by the Internal Revenue Code.)

10a Did the organization have local chapters, branches, or affiliates?

10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization’s exempt purposes?

11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?

b Describe in Schedule O the process, if any, used by the organization to review this Form 990.

12a Did the organization have a written conflict of interest policy? If "No," go to line 13

b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?

12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done

13 Did the organization have a written whistleblower policy?

14 Did the organization have a written document retention and destruction policy?

15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?

15a The organization’s CEO, Executive Director, or top management official

15b Other officers or key employees of the organization

If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).

16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?

b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization’s exempt status with respect to such arrangements?

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

[X] Own website
[X] Another’s website
[X] Upon request
[ ] Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization’s books and records:

ANDREW F. ALDEN - 203-777-7061

70 AUDUBON STREET, NEW HAVEN, CT 06510

532006 12-16-15

13300505 755449 9251 2015.03040 THE COMMUNITY FOUNDATION FO 9251_1
### Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

#### Check if Schedule O contains a response or note to any line in this Part VII

No

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization’s tax year.

- List all of the organization’s current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization’s current key employees, if any. See instructions for definition of “key employee.”
- List the organization’s five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization’s former officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization’s former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

#### Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

No

#### Table Format

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Average hours per week</th>
<th>(C) Position</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) WILLIAM COLWELL, ESQ.</td>
<td>1.00</td>
<td>CHAIR/DIRECTOR</td>
<td>x</td>
<td>x</td>
<td>0.</td>
</tr>
<tr>
<td>(2) KICA MATOS</td>
<td>1.00</td>
<td>VICE CHAIR/DIRECTOR</td>
<td>x</td>
<td>x</td>
<td>0.</td>
</tr>
<tr>
<td>(3) HOWARD K. HILL</td>
<td>1.00</td>
<td>DIRECTOR</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(4) CHARLES LONG</td>
<td>1.00</td>
<td>DIRECTOR</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(5) CARLTON HIGHSMITH</td>
<td>1.00</td>
<td>DIRECTOR</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(6) EMILY BYRNE</td>
<td>1.00</td>
<td>DIRECTOR</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(7) SHELLY SACZYNSKI</td>
<td>1.00</td>
<td>DIRECTOR</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(8) JAMES RYAN</td>
<td>1.00</td>
<td>DIRECTOR</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(9) ALICIA CARABALLO</td>
<td>1.00</td>
<td>DIRECTOR</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(10) KHALILAH L. BROWN-DEAN</td>
<td>1.00</td>
<td>DIRECTOR</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(11) KELLYANN DAY</td>
<td>1.00</td>
<td>DIRECTOR</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(12) FIRST NIAGARA BANK</td>
<td>1.00</td>
<td>TRUSTEE COMMITTEE</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(13) WELLS FARGO BANK, NA</td>
<td>1.00</td>
<td>TRUSTEE COMMITTEE</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(14) BANK OF AMERICA MERRILL LYNCH</td>
<td>1.00</td>
<td>TRUSTEE COMMITTEE</td>
<td>x</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>(15) WILLIAM W. GINSBERG</td>
<td>40.00</td>
<td>PRESIDENT &amp; CEO</td>
<td>x</td>
<td></td>
<td>294,694.</td>
</tr>
<tr>
<td>(17) ANDREW F. ALDEN</td>
<td>40.00</td>
<td>SR. VP FOR INVESTMENTS &amp; C</td>
<td>x</td>
<td></td>
<td>264,000.</td>
</tr>
<tr>
<td>(18) ANGELA POWERS</td>
<td>37.50</td>
<td>SR. VP FOR DEVELOPMENT</td>
<td>x</td>
<td></td>
<td>187,000.</td>
</tr>
</tbody>
</table>
### Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

<table>
<thead>
<tr>
<th>(A) Name and title</th>
<th>(B) Average hours per week</th>
<th>(C) Position</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Sub-total</td>
<td>Total from continuation sheets to Part VII, Section A</td>
<td>Total (add lines 1b and 1c)</td>
</tr>
</tbody>
</table>

1b Sub-total ................................. ➤ 1,337,872.00 0.00 206,794.00
c Total from continuation sheets to Part VII, Section A ................................. ➤ 0.00 0.00 0.00
d Total (add lines 1b and 1c) ................................. ➤ 1,337,872.00 0.00 206,794.00

| (19) LEON BAILEY | 37.50 | X | 175,000.00 | 0.00 | 26,360.00 |
| (20) CHRISTINA CIOCIOLA | 37.50 | X | 147,000.00 | 0.00 | 12,528.00 |
| (21) DOROTHY WESTON-MURPHY | 37.50 | X | 137,178.00 | 0.00 | 12,138.00 |
| (22) PATRICIA MELTON | 37.50 | X | 133,000.00 | 0.00 | 19,964.00 |

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

<table>
<thead>
<tr>
<th>(A) Name and business address</th>
<th>(B) Description of services</th>
<th>(C) Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>WELLS FARGO, 10 STATE HOUSE SQUARE, 2ND FLOOR, HARTFORD, CT 06103</td>
<td>INVESTMENT MANAGER/TRUSTER</td>
<td>396,773.00</td>
</tr>
<tr>
<td>ADAGE CAPITAL PARTNERS, L.P., 200 CLARENDON STREET, 52ND FLOOR, BOSTON, MA</td>
<td>INVESTMENT MANAGER</td>
<td>259,695.00</td>
</tr>
<tr>
<td>U.S. TRUST BANK OF AMERICA</td>
<td>INVESTMENT MANAGER/TRUSTER</td>
<td>254,109.00</td>
</tr>
<tr>
<td>99 FOUNDERS PLAZA, EAST HARTFORD, CT 06108</td>
<td>ADVISORY SERVICES</td>
<td>206,466.00</td>
</tr>
</tbody>
</table>

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ................................. ➤ 4
## Statement of Revenue

<table>
<thead>
<tr>
<th>Contributions, Gifts, Grants and Other Similar Amounts</th>
<th>Total revenue</th>
<th>Related or exempt function revenue</th>
<th>Unrelated business revenue</th>
<th>Revenue excluded from tax under sections 512-514</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 a Federated campaigns</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 b Membership dues</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 c Fundraising events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 d Related organizations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 e Government grants (contributions)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 f All other contributions, gifts, grants, and similar amounts not included above</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 g Noncash contributions included in lines 1a-1f $</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>h Total. Add lines 1a-1f</strong></td>
<td></td>
<td></td>
<td></td>
<td>$65,686,949.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Program Service Revenue</th>
<th>Business Code</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2 a</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 b</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 c</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 d</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 e</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 f All other program service revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>g Total. Add lines 2a-2f</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Investment income (including dividends, interest, and other similar amounts)</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>3</strong></td>
<td></td>
<td></td>
<td></td>
<td>$12,957,290.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Income from investment of tax-exempt bond proceeds</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>4</strong></td>
<td></td>
<td></td>
<td></td>
<td>$12,957,290.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Royalties</th>
<th></th>
<th>(i) Real</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>5</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Gross rents</th>
<th></th>
<th>(i) Real</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>6 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: rental expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rental income or (loss)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>6 d</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Gross amount from sales of assets other than inventory</th>
<th></th>
<th>(i) Securities</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>7 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: cost or other basis and sales expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gain or (loss)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>7 d</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Net gain or (loss)</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>8 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net income or (loss) from fundraising events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>9 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net income or (loss) from gaming activities</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>10 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: cost of goods sold</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net income or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Gross income from fundraising events (not including $ of contributions reported on line 1c). See Part IV, line 18</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>8 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net income or (loss) from fundraising events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>9 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net income or (loss) from gaming activities</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>10 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gross sales of inventory, less returns and allowances</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>10 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: cost of goods sold</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net income or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Gross sales of inventory, less returns and allowances</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>10 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gross income from gaming activities. See Part IV, line 19</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>9 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net income or (loss) from gaming activities</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>10 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gross sales of inventory, less returns and allowances</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>10 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: cost of goods sold</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net income or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Miscellaneous Revenue</th>
<th>Business Code</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>11 a</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>11 b</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>11 c</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>d All other revenue</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>e Total. Add lines 11a-11d</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>12 Total revenue. See instructions.</strong></td>
<td></td>
<td></td>
<td></td>
<td>$145,093,566.</td>
</tr>
</tbody>
</table>

### Notes
- Noncash contributions included in lines 1a-1f: $532,009. 12-16-15
- Total revenue: $65,686,949.
- Contributions, Gifts, Grants and Other Similar Amounts
- Program Service Revenue
- Investment income (including dividends, interest, and other similar amounts)
- Income from investment of tax-exempt bond proceeds
- Royalties
- Gross rents
- Less: rental expenses
- Rental income or (loss)
- Net rental income or (loss)
- Gross amount from sales of assets other than inventory
- Less: cost or other basis and sales expenses
- Gain or (loss)
- Net gain or (loss)
- Gross income from fundraising events (not including $ of contributions reported on line 1c). See Part IV, line 18
- Less: direct expenses
- Net income or (loss) from fundraising events
- Gross income from gaming activities. See Part IV, line 19
- Less: direct expenses
- Net income or (loss) from gaming activities
- Gross sales of inventory, less returns and allowances
- Less: cost of goods sold
- Net income or (loss) from sales of inventory
- Miscellaneous Revenue
- All other revenue
- Total revenue: See instructions.
### Part IX Statement of Functional Expenses

**Form 990 (2015)**  
**Page 10**  

**Check if Schedule O contains a response or note to any line in this Part IX:** [ ]

**Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).**

<table>
<thead>
<tr>
<th></th>
<th>(A) Total expenses</th>
<th>(B) Program service expenses</th>
<th>(C) Management and general expenses</th>
<th>(D) Fundraising expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21</td>
<td>29,127,747</td>
<td>29,127,747</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Grants and other assistance to domestic individuals. See Part IV, line 22</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16</td>
<td>35,295</td>
<td>35,295</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Benefits paid to or for members</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Compensation of current officers, directors, trustees, and key employees</td>
<td>657,841</td>
<td>249,980</td>
<td>243,401</td>
</tr>
<tr>
<td>6</td>
<td>Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Other salaries and wages</td>
<td>2,232,368</td>
<td>848,300</td>
<td>825,976</td>
</tr>
<tr>
<td>8</td>
<td>Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)</td>
<td>180,779</td>
<td>68,696</td>
<td>66,888</td>
</tr>
<tr>
<td>9</td>
<td>Other employee benefits</td>
<td>285,645</td>
<td>108,545</td>
<td>105,689</td>
</tr>
<tr>
<td>10</td>
<td>Payroll taxes</td>
<td>172,912</td>
<td>65,707</td>
<td>63,977</td>
</tr>
<tr>
<td>11</td>
<td>Fees for services (non-employees):</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Management</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Legal</td>
<td>51,747</td>
<td>19,664</td>
<td>19,146</td>
</tr>
<tr>
<td>c</td>
<td>Accounting</td>
<td>34,651</td>
<td>13,167</td>
<td>12,821</td>
</tr>
<tr>
<td>d</td>
<td>Lobbying</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Professional fundraising services, See Part IV, line 17</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Investment management fees</td>
<td>3,324,208</td>
<td>1,263,199</td>
<td>1,229,957</td>
</tr>
<tr>
<td>g</td>
<td>Other, (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)</td>
<td>202,921</td>
<td>77,110</td>
<td>75,081</td>
</tr>
<tr>
<td>12</td>
<td>Advertising and promotion</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>Office expenses</td>
<td>54,522</td>
<td>20,718</td>
<td>20,173</td>
</tr>
<tr>
<td>14</td>
<td>Information technology</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>Royalties</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>Occupancy</td>
<td>173,112</td>
<td>65,783</td>
<td>64,051</td>
</tr>
<tr>
<td>17</td>
<td>Travel</td>
<td>10,773</td>
<td>4,094</td>
<td>3,986</td>
</tr>
<tr>
<td>18</td>
<td>Payments of travel or entertainment expenses for any federal, state, or local public officials</td>
<td>224,824</td>
<td>85,433</td>
<td>83,185</td>
</tr>
<tr>
<td>19</td>
<td>Conferences, conventions, and meetings</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20</td>
<td>Interest</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Payments to affiliates</td>
<td>66,908</td>
<td>25,425</td>
<td>24,756</td>
</tr>
<tr>
<td>22</td>
<td>Depreciation, depletion, and amortization</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Insurance</td>
<td>44,900</td>
<td>17,062</td>
<td>16,613</td>
</tr>
<tr>
<td>24</td>
<td>Other expenses, Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)</td>
<td>208,600</td>
<td>79,268</td>
<td>77,182</td>
</tr>
<tr>
<td>a</td>
<td>EQUIPMENT RENTAL AND MA</td>
<td>208,600</td>
<td>79,268</td>
<td>77,182</td>
</tr>
<tr>
<td>b</td>
<td>PRINTING AND PUBLICATIO</td>
<td>167,859</td>
<td>63,786</td>
<td>62,108</td>
</tr>
<tr>
<td>c</td>
<td>MARKETING AND ADVERTISI</td>
<td>70,487</td>
<td>26,786</td>
<td>26,084</td>
</tr>
<tr>
<td>d</td>
<td>TELEPHONE &amp; INTERNET</td>
<td>42,859</td>
<td>16,286</td>
<td>15,858</td>
</tr>
<tr>
<td>e</td>
<td>All other expenses</td>
<td>77,612</td>
<td>29,493</td>
<td>28,717</td>
</tr>
<tr>
<td>25</td>
<td>Total functional expenses. Add lines 1 through 24e</td>
<td>37,448,570</td>
<td>32,311,543</td>
<td>3,065,645</td>
</tr>
<tr>
<td>26</td>
<td>Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>(A)</th>
<th>(B)</th>
<th>(C)</th>
<th>(D)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2015.03040</td>
<td>THE COMMUNITY FOUNDATION FO 9251</td>
<td>10</td>
<td>13300505 755449 9251</td>
<td>2015.03040 IL WRIGHT FOUNDATION 9251</td>
</tr>
</tbody>
</table>
### Balance Sheet

**Assets**

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Beginning of year</th>
<th>(B) End of year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Cash - non-interest-bearing</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Savings and temporary cash investments</td>
<td>14,480,013.00</td>
<td>4,282,732.00</td>
</tr>
<tr>
<td>3</td>
<td>Pledges and grants receivable, net</td>
<td>2,494,966.00</td>
<td>6,048,701.00</td>
</tr>
<tr>
<td>4</td>
<td>Accounts receivable, net</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Loans and other receivables from current and former officers, directors,</td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>trustees, key employees, and highest compensated employees. Complete Part</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>II of Schedule L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Loans and other receivables from other disqualified persons (as defined</td>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>contributing employers and sponsoring organizations of section 501(c)(9)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>voluntary employees' beneficiary organizations (see instr). Complete Part II</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>of Sch L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Notes and loans receivable, net</td>
<td>150,000.00</td>
<td>261,000.00</td>
</tr>
<tr>
<td>8</td>
<td>Inventories for sale or use</td>
<td>8</td>
<td>8</td>
</tr>
<tr>
<td>9</td>
<td>Prepaid expenses and deferred charges</td>
<td>9</td>
<td>9</td>
</tr>
<tr>
<td>10a</td>
<td>Land, buildings, and equipment: cost or other</td>
<td>1,009,710.00</td>
<td>1,009,710.00</td>
</tr>
<tr>
<td></td>
<td>basis. Complete Part VI of Schedule D</td>
<td>246,441.00</td>
<td>363,292.00</td>
</tr>
<tr>
<td>10b</td>
<td>Less: accumulated depreciation</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>646,418.00</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Investments - publicly traded securities</td>
<td>295,871,878.00</td>
<td>329,145,161.00</td>
</tr>
<tr>
<td>12</td>
<td>Investments - other securities. See Part IV, line 11</td>
<td>127,494,896.00</td>
<td>138,725,767.00</td>
</tr>
<tr>
<td>13</td>
<td>Investments - program-related. See Part IV, line 11</td>
<td>2,527,329.00</td>
<td>3,453,361.00</td>
</tr>
<tr>
<td>14</td>
<td>Intangible assets</td>
<td>14</td>
<td>14</td>
</tr>
<tr>
<td>15</td>
<td>Other assets. See Part IV, line 11</td>
<td>264,755.00</td>
<td>150,397.00</td>
</tr>
<tr>
<td>16</td>
<td>Total assets. Add lines 1 through 15 (must equal line 34)</td>
<td>443,530,278.00</td>
<td>482,430,411.00</td>
</tr>
<tr>
<td>17</td>
<td>Accounts payable and accrued expenses</td>
<td>305,564.00</td>
<td>317,296.00</td>
</tr>
<tr>
<td>18</td>
<td>Grants payable</td>
<td>995,399.00</td>
<td>2,342,888.00</td>
</tr>
<tr>
<td>19</td>
<td>Deferred revenue</td>
<td>19</td>
<td>19</td>
</tr>
<tr>
<td>20</td>
<td>Tax-exempt bond liabilities</td>
<td>20</td>
<td>20</td>
</tr>
<tr>
<td>21</td>
<td>Escrow or custodial account liability. Complete Part IV of Schedule D</td>
<td>21</td>
<td>21</td>
</tr>
<tr>
<td>22</td>
<td>Loans and other payables to current and former officers, directors, trustees,</td>
<td>22</td>
<td>22</td>
</tr>
<tr>
<td></td>
<td>key employees, highest compensated employees, and disqualified persons.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Complete Part II of Schedule L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Secured mortgages and notes payable to unrelated third parties</td>
<td>23</td>
<td>23</td>
</tr>
<tr>
<td>24</td>
<td>Unsecured notes and loans payable to unrelated third parties</td>
<td>24</td>
<td>24</td>
</tr>
<tr>
<td>25</td>
<td>Other liabilities (including federal income tax, payables to related third</td>
<td>25</td>
<td>25</td>
</tr>
<tr>
<td></td>
<td>parties, and other liabilities not included on lines 17-24). Complete Part</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>X of Schedule D</td>
<td></td>
<td></td>
</tr>
<tr>
<td>26</td>
<td>Total liabilities. Add lines 17 through 25</td>
<td>1,863,295.00</td>
<td>3,056,082.00</td>
</tr>
</tbody>
</table>

**Liabilities**

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Beginning of year</th>
<th>(B) End of year</th>
</tr>
</thead>
<tbody>
<tr>
<td>27</td>
<td>Unrestricted net assets</td>
<td>440,573,768.00</td>
<td>478,231,838.00</td>
</tr>
<tr>
<td>28</td>
<td>Temporarily restricted net assets</td>
<td>1,093,215.00</td>
<td>1,142,491.00</td>
</tr>
<tr>
<td>29</td>
<td>Permanently restricted net assets</td>
<td>29</td>
<td>29</td>
</tr>
</tbody>
</table>

**Net Assets or Fund Balances**

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Beginning of year</th>
<th>(B) End of year</th>
</tr>
</thead>
<tbody>
<tr>
<td>30</td>
<td>Capital stock or trust principal, or current funds</td>
<td>30</td>
<td>30</td>
</tr>
<tr>
<td>31</td>
<td>Paid-in or capital surplus, or land, building, or equipment fund</td>
<td>31</td>
<td>31</td>
</tr>
<tr>
<td>32</td>
<td>Retained earnings, endowment, accumulated income, or other funds</td>
<td>32</td>
<td>32</td>
</tr>
<tr>
<td>33</td>
<td>Total net assets or fund balances</td>
<td>441,666,983.00</td>
<td>479,374,329.00</td>
</tr>
<tr>
<td>34</td>
<td>Total liabilities and net assets/fund balances</td>
<td>443,530,278.00</td>
<td>482,430,411.00</td>
</tr>
</tbody>
</table>
**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue (must equal Part VIII, column (A), line 12)</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Total expenses (must equal Part IX, column (A), line 25)</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Revenue less expenses. Subtract line 2 from line 1</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Net unrealized gains (losses) on investments</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Donated services and use of facilities</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Investment expenses</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td>Prior period adjustments</td>
<td>8</td>
</tr>
<tr>
<td>9</td>
<td>Other changes in net assets or fund balances (explain in Schedule O)</td>
<td>9</td>
</tr>
<tr>
<td>10</td>
<td>Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</td>
<td>10</td>
</tr>
</tbody>
</table>

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Accounting method used to prepare the Form 990:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Cash □ Accrual □ Other</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If the organization changed its method of accounting from a prior year or checked &quot;Other,&quot; explain in Schedule O.</td>
<td></td>
</tr>
<tr>
<td>2a</td>
<td>Were the organization's financial statements compiled or reviewed by an independent accountant?</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If &quot;Yes,&quot; check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Separate basis □ Consolidated basis □ Both consolidated and separate basis</td>
<td></td>
</tr>
<tr>
<td>2b</td>
<td>Were the organization's financial statements audited by an independent accountant?</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If &quot;Yes,&quot; check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Separate basis □ Consolidated basis □ Both consolidated and separate basis</td>
<td></td>
</tr>
<tr>
<td>2c</td>
<td>If &quot;Yes&quot; to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.</td>
<td></td>
</tr>
<tr>
<td>3a</td>
<td>As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If &quot;Yes,&quot; did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits</td>
<td></td>
</tr>
</tbody>
</table>

Form 990 (2015)
Part I: Reason for Public Charity Status

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1. A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
2. A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)
3. A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4. A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state:
5. An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
6. A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
7. An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
8. A community trust described in section 170(b)(1)(A)(vii). (Complete Part II.)
9. An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions; subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
10. An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
11. An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.

Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

Enter the number of supported organizations.

Provide the following information about the supported organization(s).

(i) Name of supported organization  (ii) EIN  (iii) Type of organization (described on lines 1-9 above (see instructions))  (iv) Is the organization listed in your governing document?  (v) Amount of monetary support (see instructions)  (vi) Amount of other support (see instructions)

<table>
<thead>
<tr>
<th>Name of supported organization</th>
<th>EIN</th>
<th>Type of organization</th>
<th>Listed in governing document?</th>
<th>Monetary support</th>
<th>Other support</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total Amount of Monetary Support

Total Amount of Other Support
**Section A. Public Support**

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2011</th>
<th>(b) 2012</th>
<th>(c) 2013</th>
<th>(d) 2014</th>
<th>(e) 2015</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gifts, grants, contributions, and membership fees received. (Do not include any &quot;unusual grants.&quot;)</td>
<td>36,496,984</td>
<td>18,810,009</td>
<td>22,532,825</td>
<td>24,020,556</td>
<td>65,686,949</td>
<td>167,547,323</td>
</tr>
<tr>
<td>2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Total. Add lines 1 through 3</td>
<td>36,496,984</td>
<td>18,810,009</td>
<td>22,532,825</td>
<td>24,020,556</td>
<td>65,686,949</td>
<td>167,547,323</td>
</tr>
<tr>
<td>5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>19,996,513</td>
</tr>
<tr>
<td>6 Public support. Subtract line 5 from line 4.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>147,550,810</td>
</tr>
</tbody>
</table>

**Section B. Total Support**

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2011</th>
<th>(b) 2012</th>
<th>(c) 2013</th>
<th>(d) 2014</th>
<th>(e) 2015</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>7 Amounts from line 4</td>
<td>36,496,984</td>
<td>18,810,009</td>
<td>22,532,825</td>
<td>24,020,556</td>
<td>65,686,949</td>
<td>167,547,323</td>
</tr>
<tr>
<td>8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td>7,407,095</td>
<td>10,688,508</td>
<td>20,024,639</td>
<td>15,657,784</td>
<td>12,957,290</td>
<td>66,735,316</td>
</tr>
<tr>
<td>9 Net income from unrelated business activities, whether or not the business is regularly carried on</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Total support. Add lines 7 through 10</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>234,282,639</td>
</tr>
<tr>
<td>12 Gross receipts from related activities, etc. (see instructions)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>12</td>
</tr>
<tr>
<td>13 First five years. If the Form 990 is for the organization’s first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Section C. Computation of Public Support Percentage**

<table>
<thead>
<tr>
<th>14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f))</th>
<th>14</th>
</tr>
</thead>
<tbody>
<tr>
<td>15 Public support percentage from 2014 Schedule A, Part II, line 14</td>
<td>15</td>
</tr>
</tbody>
</table>

| 16a 33 1/3% support test - 2015. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization | \( \times \) |
| 16b 33 1/3% support test - 2014. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization | |
| 17a 10% -facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization | |
| 17b 10% -facts-and-circumstances test - 2014. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization | |
| 18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions | |

---

**THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN**

**Schedule A (Form 990 or 990-EZ) 2015**

**Page 2**
### Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

#### Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2011</th>
<th>(b) 2012</th>
<th>(c) 2013</th>
<th>(d) 2014</th>
<th>(e) 2015</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gifts, grants, contributions, and membership fees received. (Do not include any &quot;unusual grants.&quot;)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Gross receipts from activities that are not an unrelated trade or business under section 513</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Total. Add lines 1 through 5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7a Amounts included on lines 1, 2, and 3 received from disqualified persons</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Add lines 7a and 7b</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Public support. (Subtract line 7c from line 6.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2011</th>
<th>(b) 2012</th>
<th>(c) 2013</th>
<th>(d) 2014</th>
<th>(e) 2015</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>9 Amounts from line 6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Add lines 10a and 10b</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 Total support. (Add lines 9, 10c, 11, and 12.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section C. Computation of Public Support Percentage

| 15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f)) | 15 % |
| 16 Public support percentage from 2014 Schedule A, Part III, line 15 | 16 % |

#### Section D. Computation of Investment Income Percentage

| 17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f)) | 17 % |
| 18 Investment income percentage from 2014 Schedule A, Part III, line 17 | 18 % |
| 19a 33 1/3% support tests - 2015. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization |          |
| b 33 1/3% support tests - 2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization |          |
| 20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions |          |

---

532023 09-23-15

Schedule A (Form 990 or 990-EZ) 2015

13300505 755449 9251

2015.03040 THE COMMUNITY FOUNDATION FO 9251__1
### Part IV  Supporting Organizations

(Complete only if you checked a box in line 11 on Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

#### Section A. All Supporting Organizations

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1  Are all of the organization’s supported organizations listed by name in the organization’s governing documents? If &quot;No&quot; describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2  Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If &quot;Yes,&quot; explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If &quot;Yes,&quot; answer (b) and (c) below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 508(a)(2)? If &quot;Yes,&quot; describe in Part VI when and how the organization made the determination.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If &quot;Yes,&quot; explain in Part VI what controls the organization put in place to ensure such use.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4a Was any supported organization not organized in the United States (&quot;foreign supported organization&quot;)? If &quot;Yes,&quot; and if you checked 11a or 11b in Part I, answer (b) and (c) below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If &quot;Yes,&quot; describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If &quot;Yes,&quot; explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5a Did the organization add, substitute, or remove any supported organizations during the tax year? If &quot;Yes,&quot; answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5c Substitutions only. Was the substitution the result of an event beyond the organization's control?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If &quot;Yes,&quot; provide detail in Part VI.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If &quot;Yes,&quot; complete Part I of Schedule L (Form 990 or 990-EZ).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If &quot;Yes,&quot; complete Part I of Schedule L (Form 990 or 990-EZ).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If &quot;Yes,&quot; provide detail in Part VI.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If &quot;Yes,&quot; provide detail in Part VI.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If &quot;Yes,&quot; provide detail in Part VI.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If &quot;Yes,&quot; answer 10b below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Part IV Supporting Organizations 

**Section A. Type IV Supporting Organizations**

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Has the organization accepted a gift or contribution from any of the following persons?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. A family member of a person described in (a) above?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c. A 35% controlled entity of a person described in (a) or (b) above? If &quot;Yes&quot; to a, b, or c, provide detail in Part VI.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Section B. Type I Supporting Organizations**

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization’s directors or trustees at all times during the tax year? If &quot;No,&quot; describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization’s activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supported organization? If &quot;Yes,&quot; explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supported organization.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Section C. Type II Supporting Organizations**

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Were a majority of the organization’s directors or trustees during the tax year also a majority of the directors or trustees of each of the organization’s supported organization(s)? If &quot;No,&quot; describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Section D. All Type III Supporting Organizations**

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization’s tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization’s governing documents in effect on the date of notification, to the extent not previously provided?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Were any of the organization’s officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If &quot;No,&quot; explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>By reason of the relationship described in (2), did the organization’s supported organizations have a significant voice in the organization’s investment policies and in directing the use of the organization’s income or assets at all times during the tax year? If &quot;Yes,&quot; describe in Part VI the role the organization’s supported organizations played in this regard.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Section E. Type III Functionally-Integrated Supporting Organizations**

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. The organization satisfied the Activities Test. Complete line 2 below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. The organization is the parent of each of its supported organizations. Complete line 3 below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c. The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see instructions).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activities Test. Answer (a) and (b) below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. Did substantially all of the organization’s activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If &quot;Yes,&quot; then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Did the activities described in (a) constitute activities that, but for the organization’s involvement, one or more of the organization’s supported organization(s) would have been engaged in? If &quot;Yes,&quot; explain in Part VI the reasons for the organization’s position that its supported organization(s) would have engaged in these activities but for the organization’s involvement.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Parent of Supported Organizations. Answer (a) and (b) below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If &quot;Yes,&quot; describe in Part VI the role played by the organization in this regard.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1. Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

#### Section A - Adjusted Net Income

<table>
<thead>
<tr>
<th></th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Net short-term capital gain</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Recoveries of prior-year distributions</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Other gross income (see instructions)</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Add lines 1 through 3</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Depreciation and depletion</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Other expenses (see instructions)</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td><strong>Adjusted Net Income</strong> (subtract lines 5, 6 and 7 from line 4)</td>
<td>8</td>
</tr>
</tbody>
</table>

#### Section B - Minimum Asset Amount

<table>
<thead>
<tr>
<th></th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Average monthly value of securities</td>
<td>1a</td>
</tr>
<tr>
<td>b</td>
<td>Average monthly cash balances</td>
<td>1b</td>
</tr>
<tr>
<td>c</td>
<td>Fair market value of other non-exempt-use assets</td>
<td>1c</td>
</tr>
<tr>
<td>d</td>
<td>Total (add lines 1a, 1b, and 1c)</td>
<td>1d</td>
</tr>
<tr>
<td>e</td>
<td><strong>Discount</strong> claimed for blockage or other factors (explain in detail in Part VI):</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Acquisition indebtedness applicable to non-exempt-use assets</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2 from line 1d</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Net value of non-exempt-use assets (subtract line 4 from line 3)</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Multiply line 5 by .035</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Recoveries of prior-year distributions</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td><strong>Minimum Asset Amount</strong> (add line 7 to line 6)</td>
<td>8</td>
</tr>
</tbody>
</table>

#### Section C - Distributable Amount

<table>
<thead>
<tr>
<th></th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Adjusted net income for prior year (from Section A, line 8, Column A)</td>
</tr>
<tr>
<td>2</td>
<td>Enter 85% of line 1</td>
</tr>
<tr>
<td>3</td>
<td>Minimum asset amount for prior year (from Section B, line 8, Column A)</td>
</tr>
<tr>
<td>4</td>
<td>Enter greater of line 2 or line 3</td>
</tr>
<tr>
<td>5</td>
<td>Income tax imposed in prior year</td>
</tr>
<tr>
<td>6</td>
<td><strong>Distributable Amount</strong>. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)</td>
</tr>
</tbody>
</table>

7. Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).
### Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

#### Section D - Distributions

<table>
<thead>
<tr>
<th></th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Amounts paid to supported organizations to accomplish exempt purposes</td>
</tr>
<tr>
<td>2</td>
<td>Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity</td>
</tr>
<tr>
<td>3</td>
<td>Administrative expenses paid to accomplish exempt purposes of supported organizations</td>
</tr>
<tr>
<td>4</td>
<td>Amounts paid to acquire exempt-use assets</td>
</tr>
<tr>
<td>5</td>
<td>Qualified set-aside amounts (prior IRS approval required)</td>
</tr>
<tr>
<td>6</td>
<td>Other distributions (describe in <strong>Part VI</strong>), See instructions.</td>
</tr>
<tr>
<td>7</td>
<td>Total annual distributions. Add lines 1 through 6.</td>
</tr>
<tr>
<td>8</td>
<td>Distributions to attentive supported organizations to which the organization is responsive (provide details in <strong>Part VI</strong>), See instructions.</td>
</tr>
<tr>
<td>9</td>
<td>Distributable amount for 2015 from Section C, line 6</td>
</tr>
<tr>
<td>10</td>
<td>Line 8 amount divided by Line 9 amount</td>
</tr>
</tbody>
</table>

#### Section E - Distribution Allocations (see instructions)

<table>
<thead>
<tr>
<th></th>
<th>(i) Excess Distributions</th>
<th>(ii) Underdistributions Pre-2015</th>
<th>(iii) Distributable Amount for 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Distributable amount for 2015 from Section C, line 6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Underdistributions, if any, for years prior to 2015 (reasonable cause required—see instructions)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Excess distributions carryover, if any, to 2015:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d From 2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>e From 2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Total of lines 3a through e</td>
<td></td>
<td></td>
</tr>
<tr>
<td>g</td>
<td>Applied to underdistributions of prior years</td>
<td></td>
<td></td>
</tr>
<tr>
<td>h</td>
<td>Applied to 2015 distributable amount</td>
<td></td>
<td></td>
</tr>
<tr>
<td>i</td>
<td>Carryover from 2010 not applied (see instructions)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>j</td>
<td>Remainder. Subtract lines 3g, 3h, and 3i from 3f.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Distributions for 2015 from Section D, line 7: $</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Applied to underdistributions of prior years</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b Applied to 2015 distributable amount</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c Remainder. Subtract lines 4a and 4b from 4.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Remaining underdistributions for years prior to 2015, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Remaining underdistributions for 2015. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Excess distributions carryover to 2016. Add lines 3j and 4c.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Breakdown of line 7:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c Excess from 2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d Excess from 2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>e Excess from 2015</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

Schedule A (Form 990 or 990-EZ) 2015
Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.

(See instructions.)
Part I  Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

1. Total number at end of year.......................................................
   (a) Donor advised funds 276
   (b) Funds and other accounts 1276

2. Aggregate value of contributions to (during year).........................
   (a) Donor advised funds 9,640,917.
   (b) Funds and other accounts 56,046,032.

3. Aggregate value of grants from (during year)..............................
   (a) Donor advised funds 3,228,998.
   (b) Funds and other accounts 29,673,154.

4. Aggregate value at end of year...............................................41,999,923.
   (a) Donor advised funds
   (b) Funds and other accounts 437,374,405.

5. Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization’s property, subject to the organization’s exclusive legal control? X Yes \[ No

6. Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? X Yes \[ No

Part II  Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1. Purpose(s) of conservation easements held by the organization (check all that apply).
   Preservation of land for public use (e.g., recreation or education)
   Protection of natural habitat
   Preservation of a historically important land area
   Preservation of a certified historic structure

2. Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

   a. Total number of conservation easements ................................
   b. Total acreage restricted by conservation easements ..............
   c. Number of conservation easements on a certified historic structure included in (a) ........................................
   d. Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register ........................................

3. Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year.

4. Number of states where property subject to conservation easement is located.

5. Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? \[ Yes \[ No

6. Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ................

7. Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year $ ____________________________

8. Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? \[ Yes \[ No

9. In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements.

Part III  Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a. If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

   b. If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

      (i) Revenue included on Form 990, Part VIII, line 1 .................
      (ii) Assets included in Form 990, Part X ................................

2. If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

   a. Revenue included on Form 990, Part VIII, line 1 .........................
   b. Assets included in Form 990, Part X .......................................

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2015

LHA

532051

11-02-15

13300505 755449 9251 2015.03040 THE COMMUNITY FOUNDATION FO 9251___1

THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN
**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

3 Using the organization’s acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- Public exhibition
- Scholarly research
- Preservation for future generations
- Loan or exchange programs
- Other

4 Provide a description of the organization’s collections and explain how they further the organization’s exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization’s collection?  Yes ☐ No ☐

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered “Yes” on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes ☐ No ☐

b If “Yes,” explain the arrangement in Part XIII and complete the following table:

<table>
<thead>
<tr>
<th>Amount</th>
<th>1c</th>
<th>1d</th>
<th>1e</th>
<th>1f</th>
</tr>
</thead>
<tbody>
<tr>
<td>Beginning balance</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Additions during the year</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Distributions during the year</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ending balance</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes ☐ No ☐

b If “Yes,” explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII.

**Part V Endowment Funds.** Complete if the organization answered “Yes” on Form 990, Part IV, line 10.

1a Beginning of year balance
1b Contributions
1c Net investment earnings, gains, and losses
1d Grants or scholarships
1e Other expenditures for facilities and programs
1f Administrative expenses
1g End of year balance

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- Board designated or quasi-endowment %
- Permanent endowment %
- Temporarily restricted endowment %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

   (i) unrelated organizations
   (ii) related organizations

3b If “Yes” on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization’s endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered “Yes” on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

<table>
<thead>
<tr>
<th>Description of property</th>
<th>(a) Cost or other basis (investment)</th>
<th>(b) Cost or other basis (other)</th>
<th>(c) Accumulated depreciation</th>
<th>(d) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Land</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1b Buildings</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1c Leasehold improvements</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1d Equipment</td>
<td>1,009,710.</td>
<td></td>
<td>646,418.</td>
<td>363,292.</td>
</tr>
<tr>
<td>1e Other</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total, Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)  363,292.
### Part VII  Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

<table>
<thead>
<tr>
<th>(a) Description of security or category (including name of security)</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Financial derivatives</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2) Closely-held equity interests</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3) Other</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(A) ALTERNATIVE INVESTMENTS</td>
<td>138,725,767,</td>
<td>END-OF-YEAR MARKET VALUE</td>
</tr>
<tr>
<td>(B)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(C)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(D)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(E)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(F)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(G)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(H)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong> (Col. (b) must equal Form 990, Part X, col. (B) line 12.)</td>
<td>138,725,767,</td>
<td></td>
</tr>
</tbody>
</table>

### Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

<table>
<thead>
<tr>
<th>(a) Description of investment</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(5)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong> (Col. (b) must equal Form 990, Part X, col. (B) line 13.)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part IX  Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

<table>
<thead>
<tr>
<th>(a) Description</th>
<th>(b) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
</tr>
<tr>
<td>(5)</td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong> (Column (b) must equal Form 990, Part X, col. (B) line 15.)</td>
<td></td>
</tr>
</tbody>
</table>

### Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. **(a) Description of liability**
<table>
<thead>
<tr>
<th><strong>(b) Book value</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Federal income taxes</td>
</tr>
<tr>
<td>(2) SPLIT INTEREST AGREEMENTS</td>
</tr>
<tr>
<td>(3)</td>
</tr>
<tr>
<td>(4)</td>
</tr>
<tr>
<td>(5)</td>
</tr>
<tr>
<td>(6)</td>
</tr>
<tr>
<td>(7)</td>
</tr>
<tr>
<td>(8)</td>
</tr>
<tr>
<td>(9)</td>
</tr>
</tbody>
</table>
   **Total** (Column (b) must equal Form 990, Part X, col. (B) line 25.) | 395,898, |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization’s financial statements that reports the organization’s liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII [ ]
### Part XI: Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<table>
<thead>
<tr>
<th></th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue, gains, and other support per audited financial statements</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part VIII, line 12:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Net unrealized gains (losses) on investments</td>
<td>2a</td>
</tr>
<tr>
<td></td>
<td>b. Donated services and use of facilities</td>
<td>2b</td>
</tr>
<tr>
<td></td>
<td>c. Recoveries of prior year grants</td>
<td>2c</td>
</tr>
<tr>
<td></td>
<td>d. Other (Describe in Part XIII.)</td>
<td>2d</td>
</tr>
<tr>
<td></td>
<td>e. Add lines 2a through 2d</td>
<td>2e</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part VIII, line 12, but not on line 1:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Investment expenses not included on Form 990, Part VIII, line 7b</td>
<td>4a</td>
</tr>
<tr>
<td></td>
<td>b. Other (Describe in Part XIII.)</td>
<td>4b</td>
</tr>
<tr>
<td></td>
<td>c. Add lines 4a and 4b</td>
<td>4c</td>
</tr>
<tr>
<td>5</td>
<td>Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)</td>
<td>5</td>
</tr>
</tbody>
</table>

### Part XII: Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<table>
<thead>
<tr>
<th></th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total expenses and losses per audited financial statements</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part IX, line 25:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Donated services and use of facilities</td>
<td>2a</td>
</tr>
<tr>
<td></td>
<td>b. Prior year adjustments</td>
<td>2b</td>
</tr>
<tr>
<td></td>
<td>c. Other losses</td>
<td>2c</td>
</tr>
<tr>
<td></td>
<td>d. Other (Describe in Part XIII.)</td>
<td>2d</td>
</tr>
<tr>
<td></td>
<td>e. Add lines 2a through 2d</td>
<td>2e</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part IX, line 25, but not on line 1:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Investment expenses not included on Form 990, Part VIII, line 7b</td>
<td>4a</td>
</tr>
<tr>
<td></td>
<td>b. Other (Describe in Part XIII.)</td>
<td>4b</td>
</tr>
<tr>
<td></td>
<td>c. Add lines 4a and 4b</td>
<td>4c</td>
</tr>
<tr>
<td>5</td>
<td>Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)</td>
<td>5</td>
</tr>
</tbody>
</table>

### Part XIII: Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.
**SCHEDULE F**
(Revised 2010.04.22)

**Statement of Activities Outside the United States**
Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
Attach to Form 990.
Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

<table>
<thead>
<tr>
<th>Name of the organization</th>
<th>Employer identification number</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN</td>
<td>06-6032106</td>
</tr>
</tbody>
</table>

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? [ ] Yes [x] No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 **Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

<table>
<thead>
<tr>
<th>(a) Region</th>
<th>(b) Number of offices in the region</th>
<th>(c) Number of employees, agents, and independent contractors in region</th>
<th>(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)</th>
<th>(e) If activity listed in (d) is a program service, describe specific type of service(s) in region</th>
<th>(f) Total expenditures for and investments in region</th>
</tr>
</thead>
<tbody>
<tr>
<td>IRELAND</td>
<td>0</td>
<td>0</td>
<td>GRANT TO ORGANIZATION FROM MARY JANE REYNOLDS FUND.</td>
<td></td>
<td>10,295.</td>
</tr>
<tr>
<td>CANADA</td>
<td>0</td>
<td>0</td>
<td>GRANT TO ORGANIZATION FROM THE KOCH ELLIS FUND.</td>
<td></td>
<td>25,000.</td>
</tr>
<tr>
<td>CENTRAL AMERICA AND THE CARIBBEAN</td>
<td>0</td>
<td>0</td>
<td>INVESTMENTS</td>
<td></td>
<td>151,668,413.</td>
</tr>
</tbody>
</table>
## Part II Grants and Other Assistance to Organizations or Entities Outside the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th><strong>(a)</strong> Name of organization</th>
<th><strong>(b)</strong> IRS code section and EIN (if applicable)</th>
<th><strong>(c)</strong> Region</th>
<th><strong>(d)</strong> Purpose of grant</th>
<th><strong>(e)</strong> Amount of cash grant</th>
<th><strong>(f)</strong> Manner of cash disbursement</th>
<th><strong>(g)</strong> Amount of non-cash assistance</th>
<th><strong>(h)</strong> Description of non-cash assistance</th>
<th><strong>(i)</strong> Method of valuation (book, FMV, appraisal, other)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>IRELAND</td>
<td>GENERAL PURPOSE</td>
<td>10,295</td>
<td>WIRE TRANSFER</td>
<td>0</td>
<td>FMV</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>CANADA</td>
<td>GENERAL PURPOSE</td>
<td>25,000</td>
<td>WIRE TRANSFER</td>
<td>0</td>
<td>FMV</td>
<td></td>
</tr>
</tbody>
</table>

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities
### Part III Grants and Other Assistance to Individuals Outside the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(a) Type of grant or assistance</th>
<th>(b) Region</th>
<th>(c) Number of recipients</th>
<th>(d) Amount of cash grant</th>
<th>(e) Manner of cash disbursement</th>
<th>(f) Amount of non-cash assistance</th>
<th>(g) Description of non-cash assistance</th>
<th>(h) Method of valuation (book, FMV, appraisal, other)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Schedule F (Form 990) 2015

#### Part IV  Foreign Forms

1. Was the organization a U.S. transferor of property to a foreign corporation during the tax year? **Yes**

2. Did the organization have an interest in a foreign trust during the tax year? **No**

3. Did the organization have an ownership interest in a foreign corporation during the tax year? **Yes**

4. Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? **Yes**

5. Did the organization have an ownership interest in a foreign partnership during the tax year? **No**

6. Did the organization have any operations in or related to any boycotting countries during the tax year? **No**
Schedule F (Form 990) 2015

THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN

Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

PART I, LINE 2:

FUNDS ARE DONOR DESIGNATED AND THERE IS USE OF A GRANT REMITTANCE FORM.

PART I, LINE 3:

FMV
### Part I: General Information on Grants and Assistance

1. **Question:** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

   - **Answer:** Yes

2. **Question:** Describe in Part IV the organization’s procedures for monitoring the use of grant funds in the United States.

### Part II: Grants and Other Assistance to Domestic Organizations and Domestic Governments

<table>
<thead>
<tr>
<th>(a) Name and address of organization or government</th>
<th>(b) EIN</th>
<th>(c) IRC section if applicable</th>
<th>(d) Amount of cash grant</th>
<th>(e) Amount of non-cash assistance</th>
<th>(f) Method of valuation (book, FMV, appraisal, other)</th>
<th>(g) Description of non-cash assistance</th>
<th>(h) Purpose of grant or assistance</th>
</tr>
</thead>
<tbody>
<tr>
<td>VARIOUS TAX-EXEMPT ORGANIZATIONS</td>
<td></td>
<td></td>
<td>29,127,747</td>
<td>0</td>
<td></td>
<td></td>
<td>GENERAL PURPOSES</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2. **Enter total number of section 501(c)(3) and government organizations listed in the line 1 table**

3. **Enter total number of other organizations listed in the line 1 table**

---

**For Paperwork Reduction Act Notice, see the Instructions for Form 990.**
### Part III Grants and Other Assistance to Domestic Individuals

Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(a) Type of grant or assistance</th>
<th>(b) Number of recipients</th>
<th>(c) Amount of cash grant</th>
<th>(d) Amount of non-cash assistance</th>
<th>(e) Method of valuation (book, FMV, appraisal, other)</th>
<th>(f) Description of non-cash assistance</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part IV Supplemental Information

Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

**PART I, LINE 2:**

GRANTS ARE MONITORED USING THE ON-LINE GIVEGREATER PLATFORM VIA

ORGANIZATION PROFILES THAT ARE UPDATED ANNUALLY DIRECTLY BY THE

ORGANIZATION, FORMAL ANNUAL/FINAL REPORTING QUESTIONS OR GRANT REMITTANCE

FORMS. GRANTS ARE ALSO MONITORED THROUGH NARRATIVE REPORTS REQUIRED TO BE

SUBMITTED BY THE GRANTEES.
**Part I Questions Regarding Compensation**

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.
- [ ] First-class or charter travel
- [ ] Travel for companions
- [ ] Tax indemnification and gross-up payments
- [ ] Discretionary spending account
- [ ] Housing allowance or residence for personal use
- [ ] Payments for business use of personal residence
- [ ] Health or social club dues or initiation fees
- [ ] Personal services (e.g., maid, chauffeur, chef)

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.
- [ ] Compensation committee
- [ ] Independent compensation consultant
- [ ] Form 990 of other organizations
- [ ] Written employment contract
- [ ] Compensation survey or study
- [ ] Approval by the board or compensation committee

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:
   a Receive a severance payment or change-of-control payment?
   b Participate in, or receive payment from, a supplemental nonqualified retirement plan?
   c Participate in, or receive payment from, an equity-based compensation arrangement?

   If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:
   a The organization?
   b Any related organization?

   If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:
   a The organization?
   b Any related organization?

   If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described on lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?
## Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Breakdown of W-2 and/or 1099-MISC compensation</th>
<th>(C) Retirement and other deferred compensation</th>
<th>(D) Nontaxable benefits</th>
<th>(E) Total of columns (B)(i)-(D)</th>
<th>(F) Compensation in column (B) reported as deferred on prior Form 990</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) WILLIAM W. GINSBERG</td>
<td>(i) 294,694. 0. 0. 0. 23,576. 29,187. 347,457. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>PRESIDENT &amp; CEO</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(2) ANDREW F. ALDEN</td>
<td>(i) 264,000. 0. 0. 0. 21,120. 25,264. 310,384. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>SR. VP FOR INVESTMENTS &amp; C</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(3) ANGELA POWERS</td>
<td>(i) 187,000. 0. 0. 0. 14,960. 21,697. 223,657. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>SR. VP FOR DEVELOPMENT</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(4) LEON BAILEY</td>
<td>(i) 175,000. 0. 0. 0. 14,000. 12,360. 201,360. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>SR. VP ORGANIZATIONAL EFSE</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(5) CHRISTINA CIOCIOLA</td>
<td>(i) 147,000. 0. 0. 0. 11,760. 768. 159,528. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>SR. VP FOR GRANTMAKING</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(6) PATRICIA MELTON</td>
<td>(i) 133,000. 0. 0. 0. 10,640. 9,324. 152,964. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0. 0. 0. 0. 0. 0. 0. 0.</td>
<td>0.</td>
<td>0.</td>
</tr>
</tbody>
</table>
## SCHEDULE M
(Form 990)

**Noncash Contributions**

Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.  
Attach to Form 990.

Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

#### Name of the organization

THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN

Employer identification number

06-6032106

### Part I  Types of Property

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b) Number of contributions or items contributed</th>
<th>(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g</th>
<th>(d) Method of determining noncash contribution amounts</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Art · Works of art</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Art · Historical treasures</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Art · Fractional interests</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Books and publications</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Clothing and household goods</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Cars and other vehicles</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Boats and planes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Intellectual property</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Securities · Publicly traded</td>
<td>X 12 6,087,800. FMV</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Securities · Closely held stock</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Securities · Partnership, LLC, or trust interests</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Securities · Miscellaneous</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>Qualified conservation contribution · Historic structures</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>Qualified conservation contribution · Other</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>Real estate · Residential</td>
<td></td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>Real estate · Commercial</td>
<td></td>
<td></td>
</tr>
<tr>
<td>17</td>
<td>Real estate · Other</td>
<td></td>
<td></td>
</tr>
<tr>
<td>18</td>
<td>Collectibles</td>
<td></td>
<td></td>
</tr>
<tr>
<td>19</td>
<td>Food inventory</td>
<td></td>
<td></td>
</tr>
<tr>
<td>20</td>
<td>Drugs and medical supplies</td>
<td></td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Taxidermy</td>
<td></td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>Historical artifacts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Scientific specimens</td>
<td></td>
<td></td>
</tr>
<tr>
<td>24</td>
<td>Archeological artifacts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>25</td>
<td>Other ▶ (</td>
<td></td>
<td></td>
</tr>
<tr>
<td>26</td>
<td>Other ▶ (</td>
<td></td>
<td></td>
</tr>
<tr>
<td>27</td>
<td>Other ▶ (</td>
<td></td>
<td></td>
</tr>
<tr>
<td>28</td>
<td>Other ▶ (</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**LHA**  
For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2015)

---

**List of Properties**

- **Art · Works of art**
- **Art · Historical treasures**
- **Art · Fractional interests**
- **Books and publications**
- **Clothing and household goods**
- **Cars and other vehicles**
- **Boats and planes**
- **Intellectual property**
- **Securities · Publicly traded**
- **Securities · Closely held stock**
- **Securities · Partnership, LLC, or trust interests**
- **Securities · Miscellaneous**
- **Qualified conservation contribution · Historic structures**
- **Qualified conservation contribution · Other**
- **Real estate · Residential**
- **Real estate · Commercial**
- **Real estate · Other**
- **Collectibles**
- **Food inventory**
- **Drugs and medical supplies**
- **Taxidermy**
- **Historical artifacts**
- **Scientific specimens**
- **Archeological artifacts**
- **Other**

**Lot Description**

- **Number of Forms 8283 received by the organization during the tax year for contributions**

---

**30a** During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?  
**30a ▶ ( )**

**31** Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?  
**31 ▶ ( )**

**32a** Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?  
**32a ▶ ( )**

---

**Employer Identification Number**

13300505 755449 9251  2015.03040 THE COMMUNITY FOUNDATION FO 9251__1
Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Schedule M, Line 32B:

Utilize outside institutional brokerage firms to orderly liquidate any securities that are gifted to the Foundation.
Name of the organization: The Community Foundation for Greater New Haven

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

New Haven by increasing the amount and enhancing the impact of community philanthropy.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

Arts and Culture: Grants that support programs and services that promote arts and culture. Expenses $6,412,504 including grants of $5,787,656.

Economic Success: Grants that support programs and services that promote regional economic success. Expenses $2,516,253 including grants of $2,271,063.

Youth: Grants that support programs and services that promote healthy youth development. Expenses $1,428,555 including grants of $1,289,353.

Basic Needs: Grants that support programs and services that promote basic human needs. Expenses $1,058,704 including grants of $955,541.

Environment: Grants that support programs and services that promote protection of the environment. Expenses $880,385 including grants of $794,598.

Other Expenses $351,860 including grants of $317,575.

Form 990, Part V, Line 4B, List of Foreign Countries:

Cayman Islands, Ireland, Bermuda, Canada.

A section of bylaws was revised during 2015 -
ADDITIONAL COMMITTEES - IN ADDITION TO THE FOREGOING STANDING COMMITTEES,
THE BOARD OF DIRECTORS MAY CREATE OTHER COMMITTEES FOR SUCH PURPOSES AND
WITH SUCH MEMBERSHIP AND AUTHORITY AS THE BOARD OF DIRECTORS MAY, FROM TIME
TO TIME, DEEM APPROPRIATE AND DESIRABLE, PROVIDED THAT, IN THE CASE OF ANY
SUCH COMMITTEE THAT HAS THE POWER TO ACT ON BEHALF OF THE BOARD OF
DIRECTORS, AT LEAST A MAJORITY OF THE MEMBERS THEREOF SHALL BE DIRECTORS OF
THE FOUNDATION.

FORM 990, PART VI, SECTION A, LINE 7A:
THE BOARD OF DIRECTORS SHALL CONSIST OF ELEVEN CITIZENS OF THE UNITED
STATES AND RESIDENTS OF THE CITY OF NEW HAVEN, CONNECTICUT, OR VICINITY,
SELECTED FOR THEIR KNOWLEDGE OF THE CHARITABLE OR EDUCATIONAL NEEDS OF THE
COMMUNITY. IT IS A CARDINAL PRINCIPLE OF THE FOUNDATION THAT IT SHALL BE
CONDUCTED IN THE INTERESTS OF THE WHOLE COMMUNITY WITHOUT REGARD TO RACE,
COLOR, RELIGION, SEX OR CREED, AND THAT TO THE GREATEST POSSIBLE EXTENT ALL
INTERESTS, CLASSES AND CREEDS SHALL BE REPRESENTED ON THE BOARD OF
DIRECTORS. NO PERSON HOLDING A SALARIED PUBLIC OFFICE SHALL BE A MEMBER OF
SAID BOARD OF DIRECTORS; AND IF ANY MEMBER OF THE BOARD OF DIRECTORS SHALL
BE APPOINTED OR ELECTED TO ANY SALARIED PUBLIC OFFICE, SUCH MEMBER SHALL
THEREUPON AND WITHOUT ANY ACTION OR PROCEEDINGS WHATSOEVER CEASE TO BE A
MEMBER OF THE BOARD OF DIRECTORS. NO EXECUTIVE OFFICER OF A TRUSTEE SHALL
BE A MEMBER OF THE BOARD OF DIRECTORS.

THE BOARD OF DIRECTORS SHALL BE SELECTED, APPOINTED AND CLASSIFIED AS
FOLLOWS:
CLASS 1 - ONE MEMBER BY THE CHIEF EXECUTIVE OF THE CITY OF NEW HAVEN,
CLASS 2 - ONE MEMBER BY THE PRESIDENT OR OTHER CHIEF EXECUTIVE OFFICER OF

THE NEW HAVEN CHAMBER OF COMMERCE.

CLASS 3 - ONE MEMBER BY THE JUDGE OF THE PROBATE COURT FOR THE DISTRICT OF

NEW HAVEN, CONNECTICUT.

CLASS 4 - ONE MEMBER BY THE TRUSTEES' COMMITTEE.

CLASS 5 - ONE MEMBER BY THE PRESIDENT OR OTHER CHIEF EXECUTIVE OFFICER OF

YALE UNIVERSITY.

CLASS 6 - ONE MEMBER BY THE PRESIDENT OF THE NEW HAVEN COUNTY BAR

ASSOCIATION.

CLASS 7 - ONE MEMBER BY THE TRUSTEES' COMMITTEE.

CLASS 8 - ONE MEMBER BY THE BOARD OF DIRECTORS BY MAJORITY VOTE OF ALL THE

MEMBERS SERVING AT THE TIME OF SUCH APPOINTMENT.

CLASS 9 - ONE MEMBER BY THE BOARD OF DIRECTORS BY MAJORITY VOTE OF ALL THE

MEMBERS SERVING AT THE TIME OF SUCH APPOINTMENT.

CLASS 10 - ONE MEMBER BY THE BOARD OF DIRECTORS BY MAJORITY VOTE OF ALL THE

MEMBERS SERVING AT THE TIME OF SUCH APPOINTMENT.

CLASS 11 - ONE MEMBER BY THE BOARD OF DIRECTORS BY MAJORITY VOTE OF ALL THE

MEMBERS SERVING AT THE TIME OF SUCH APPOINTMENT.
ALL APPOINTMENTS OF MEMBERS OF THE BOARD OF DIRECTORS SHALL BE MADE AT
LEAST THIRTY DAYS BEFORE AND NOT MORE THAN NINETY DAYS BEFORE THE
EXPIRATION OF THE TERM OF OFFICE OF THE PARTICULAR MEMBER OF THE COMMITTEE
WHOSE POSITION SUCH APPOINTMENT IS DESIGNED TO FILL.

FORM 990, PART VI, SECTION A, LINE 7B:
TRUSTEE BANKS PROVIDE GOVERNANCE DECISIONS RELATIVE TO THE SPENDING POLICY
CALCULATION FOR THE FUNDS HELD AT EACH RESPECTIVE BANK.

FORM 990, PART VI, SECTION B, LINE 11:
THE 990 AND AUDITED FINANCIAL STATEMENTS ARE REVIEWED BY THE AUDIT
COMMITTEE AND BOARD OF DIRECTORS BEFORE RELEASE AND SUBMISSION TO THE
SERVICE AND THE GENERAL PUBLIC.

FORM 990, PART VI, SECTION B, LINE 12C:
ALL STAFF AND BOARD MEMBERS MUST SIGN THE CONFLICT OF INTEREST POLICY
ANNUALLY TO UPDATE ANY EXISTING AFFILIATIONS.

FORM 990, PART VI, SECTION B, LINE 15:
A COMPENSATION ANALYSIS WAS PERFORMED BY AN OUTSIDE CONSULTING FIRM. THE
ANALYSIS WAS ADOPTED BY THE FINANCE COMMITTEE OF THE BOARD. THE DUET
PERFORMANCE SYSTEM IS A PERFORMANCE-BASED SYSTEM CREATED BY AN OUTSIDE
CONSULTING COMPANY THAT PROVIDES INDIVIDUAL AND ORGANIZATIONAL PERFORMANCE
INDICATORS FOR ALL STAFF. THE CEO PERFORMANCE IS REVIEWED BY THE BOARD OF
DIRECTORS.

FORM 990, PART VI, SECTION C, LINE 19:
FINANCIAL STATEMENTS ARE AVAILABLE ON THE WEBSITE OR UPON REQUEST.

GOVERNING DOCUMENTS AND THE CONFLICT OF INTEREST POLICY ARE AVAILABLE UPON REQUEST.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

CHANGE IN VALUE OF SPLIT INTEREST AGREEMENTS 24,276.

FORM 990, PART XII, LINE 2C

THE ORGANIZATION HAS AN AUDIT COMMITTEE THAT ASSUMES RESPONSIBILITY FOR

THE OVERSIGHT OF THE AUDIT AND SELECTION OF AN INDEPENDENT ACCOUNTANT.

THIS PROCESS HAS NOT CHANGED IN THE CURRENT YEAR.
**Related Organizations and Unrelated Partnerships**

Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

Attach to Form 990.

Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

### Part I: Identification of Disregarded Entities

Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN (if applicable) of disregarded entity</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Total income</th>
<th>(e) End-of-year assets</th>
<th>(f) Direct controlling entity</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part II: Identification of Related Tax-Exempt Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Exempt Code section</th>
<th>(e) Public charity status (if section 501(c)(3))</th>
<th>(f) Direct controlling entity</th>
<th>(g) Section 512(b)(13) controlled entity?</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE VALLEY COMMUNITY FOUNDATION, INC. - CONNECTS PRIVATE 84-1637102, 253-A ELIZABETH STREET, DERBY, PHILANTHROPY TO THE CT 06418 LONG-TERM PUBLIC GOOD CONNECTICUT 501(C)(3) LINE 7</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

For Paperwork Reduction Act Notice, see the Instructions for Form 990.
### Part III
**Identification of Related Organizations Taxable as a Partnership**
Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Disproportionate allocations?</th>
<th>(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>(j) General or managing partner?</th>
<th>(k) Percentage ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part IV
**Identification of Related Organizations Taxable as a Corporation or Trust**
Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Type of entity (C corp, S corp, or trust)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Percentage ownership</th>
<th>(i) Section 512(b)(13) controlled entity?</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN</td>
<td>SPLIT INTEREST</td>
<td>CT N/A</td>
<td>TRUST</td>
<td></td>
<td></td>
<td></td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Split Interest Trusts (3) Agreements</td>
<td>SPLIT INTEREST</td>
<td>CT N/A</td>
<td>TRUST</td>
<td></td>
<td></td>
<td></td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>POOLED INCOME FUNDS (7) AGREEMENTS</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>
**Part V Transactions With Related Organizations**

Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1. **During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?**
   - Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity
   - Gift, grant, or capital contribution to related organization(s)
   - Gift, grant, or capital contribution from related organization(s)
   - Loans or loan guarantees to or for related organization(s)
   - Loans or loan guarantees by related organization(s)
   - Dividends from related organization(s)
   - Sale of assets to related organization(s)
   - Purchase of assets from related organization(s)
   - Exchange of assets with related organization(s)
   - Lease of facilities, equipment, or other assets to related organization(s)
   - Lease of facilities, equipment, or other assets from related organization(s)
   - Performance of services or membership or fundraising solicitations for related organization(s)
   - Performance of services or membership or fundraising solicitations by related organization(s)
   - Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
   - Sharing of paid employees with related organization(s)
   - Reimbursement paid to related organization(s) for expenses
   - Reimbursement paid by related organization(s) for expenses
   - Other transfer of cash or property to related organization(s)
   - Other transfer of cash or property from related organization(s)

2. If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

<table>
<thead>
<tr>
<th>(a) Name of related organization</th>
<th>(b) Transaction type (a-s)</th>
<th>(c) Amount involved</th>
<th>(d) Method of determining amount involved</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE VALLEY COMMUNITY FOUNDATION, INC</td>
<td>B</td>
<td>1,037,683</td>
<td></td>
</tr>
</tbody>
</table>

(1) THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN 06-6032106
Unrelated Organizations Taxable as a Partnership

Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of entity</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)</th>
<th>(e) Are all partners sec. 501(c)(3) orgs.?</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Disproportionate allocations?</th>
<th>(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>(j) General or managing partner?</th>
<th>(k) Percentage ownership</th>
</tr>
</thead>
</table>
Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions).
## Information Return of U.S. Persons With Respect To Certain Foreign Corporations

**Form 5471**

**For Paperwork Reduction Act Notice, see instructions.**

### Important:
- Fill in all applicable lines and schedules. All information must be in English. All amounts must be stated in U.S. dollars unless otherwise indicated.

### 1a Name and address of foreign corporation

**Cat Rock Capital Partners Cayman Ltd.**

PO Box 309, Ugland House, South Church Street

George Town Grand Cayman KY1-1104

Cayman Islands

**Date of incorporation:** 06/16/15

**Principal place of business:** Cayman Islands

**Principal business activity code number:** 523900

**Principal business activity:** Investments

**Functional currency:** United States, Dollar

### 2 Provide the following information for the foreign corporation's accounting period stated above.

- **a Name, address, and identifying number of branch office or agent (if any) in the United States:**

- **b If a U.S. income tax return was filed, enter:**
  - (i) Taxable income or (loss)
  - (ii) U.S. income tax paid (after all credits)

- **c Name and address of foreign corporation's statutory or resident agent in country of incorporation:**

- **d Name and address (including corporate department, if applicable) of person (or persons) with custody of the books and records of the foreign corporation, and the location of such books and records, if different:**

### Schedule A | Stock of the Foreign Corporation

<table>
<thead>
<tr>
<th>(a) Description of each class of stock</th>
<th>(b) Number of shares issued and outstanding</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>COMMON</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Beginning of annual accounting period</strong></td>
<td><strong>End of annual accounting period</strong></td>
</tr>
<tr>
<td>0</td>
<td>55,900</td>
</tr>
</tbody>
</table>

**For Paperwork Reduction Act Notice, see instructions.**
**Schedule B | U.S. Shareholders of Foreign Corporation**

(a) Name, address, and identifying number of shareholder

<table>
<thead>
<tr>
<th>THE COMMUNITY FOUNDATION</th>
<th>COMMON</th>
<th>0</th>
<th>8,000</th>
<th>14.80%</th>
</tr>
</thead>
<tbody>
<tr>
<td>70 AUDUBON STREET</td>
<td>NEW HAVEN CT 06510</td>
<td>06-6032106</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(b) Description of each class of stock held by shareholder.  
Note: This description should match the corresponding description entered in Schedule A, column (a).

<table>
<thead>
<tr>
<th>Description of each class of stock held by shareholder</th>
<th>Functional Currency</th>
<th>U.S. Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Gross receipts or sales</td>
<td>1a</td>
<td></td>
</tr>
<tr>
<td>1b Returns and allowances</td>
<td>1b</td>
<td></td>
</tr>
<tr>
<td>1c Subtract line 1b from line 1a</td>
<td>1c</td>
<td></td>
</tr>
<tr>
<td>2 Cost of goods sold</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>3 Gross profit (subtract line 2 from line 1c)</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>4 Dividends</td>
<td>4</td>
<td>26,087.50</td>
</tr>
<tr>
<td>5 Interest</td>
<td>5</td>
<td>1,691.50</td>
</tr>
<tr>
<td>6a Gross rents</td>
<td>6a</td>
<td></td>
</tr>
<tr>
<td>6b Gross royalties and license fees</td>
<td>6b</td>
<td></td>
</tr>
<tr>
<td>7 Net gain or (loss) on sale of capital assets</td>
<td>7</td>
<td>-1,811,459.50</td>
</tr>
<tr>
<td>8 Other income (attach statement)</td>
<td>8</td>
<td>1,891,036.00</td>
</tr>
<tr>
<td>9 Total income (add lines 3 through 8)</td>
<td>9</td>
<td>107,555.00</td>
</tr>
</tbody>
</table>

(c) Number of shares held at beginning of annual accounting period

<table>
<thead>
<tr>
<th>Number of shares held at beginning of annual accounting period</th>
<th>Functional Currency</th>
<th>U.S. Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>18 Net income or (loss) before extraordinary items, prior period adjustments, and the provision for income, war profits, and excess profits taxes (subtract line 17 from line 9)</td>
<td>18</td>
<td>-462,550.00</td>
</tr>
<tr>
<td>19 Extraordinary items and prior period adjustments</td>
<td>19</td>
<td>-462,550.00</td>
</tr>
<tr>
<td>20 Provision for income, war profits, and excess profits taxes</td>
<td>20</td>
<td>-462,550.00</td>
</tr>
<tr>
<td>21 Current year net income or (loss) per books (combine lines 18 through 20)</td>
<td>21</td>
<td>-462,550.00</td>
</tr>
</tbody>
</table>

**Schedule C | Income Statement**

Important: Report all information in functional currency in accordance with U.S. GAAP. Also, report each amount in U.S. dollars translated from functional currency (using GAAP translation rules). However, if the functional currency is the U.S. dollar, complete only the U.S. Dollars column. See instructions for special rules for DASTM corporations.

<table>
<thead>
<tr>
<th>Income</th>
<th>Functional Currency</th>
<th>U.S. Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Gross receipts or sales</td>
<td>1a</td>
<td></td>
</tr>
<tr>
<td>1b Returns and allowances</td>
<td>1b</td>
<td></td>
</tr>
<tr>
<td>1c Subtract line 1b from line 1a</td>
<td>1c</td>
<td></td>
</tr>
<tr>
<td>2 Cost of goods sold</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>3 Gross profit (subtract line 2 from line 1c)</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>4 Dividends</td>
<td>4</td>
<td>26,087.50</td>
</tr>
<tr>
<td>5 Interest</td>
<td>5</td>
<td>1,691.50</td>
</tr>
<tr>
<td>6a Gross rents</td>
<td>6a</td>
<td></td>
</tr>
<tr>
<td>6b Gross royalties and license fees</td>
<td>6b</td>
<td></td>
</tr>
<tr>
<td>7 Net gain or (loss) on sale of capital assets</td>
<td>7</td>
<td>-1,811,459.50</td>
</tr>
<tr>
<td>8 Other income (attach statement)</td>
<td>8</td>
<td>1,891,036.00</td>
</tr>
<tr>
<td>9 Total income (add lines 3 through 8)</td>
<td>9</td>
<td>107,555.00</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Deductions</th>
<th>Functional Currency</th>
<th>U.S. Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>10 Compensation not deducted elsewhere</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>11a Rents</td>
<td>11a</td>
<td></td>
</tr>
<tr>
<td>11b Royalties and license fees</td>
<td>11b</td>
<td></td>
</tr>
<tr>
<td>12 Interest</td>
<td>12</td>
<td>11,247.00</td>
</tr>
<tr>
<td>13 Depreciation not deducted elsewhere</td>
<td>13</td>
<td></td>
</tr>
<tr>
<td>14 Depletion</td>
<td>14</td>
<td></td>
</tr>
<tr>
<td>15 Taxes (exclude provision for income, war profits, and excess profits taxes)</td>
<td>15</td>
<td>558,658.00</td>
</tr>
<tr>
<td>16 Other deductions (attach statement - exclude provision for income, war profits, and excess profits taxes)</td>
<td>16</td>
<td>558,658.00</td>
</tr>
<tr>
<td>17 Total deductions (add lines 10 through 16)</td>
<td>17</td>
<td>569,905.00</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Net Income</th>
<th>Functional Currency</th>
<th>U.S. Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>18 Net income or (loss) before extraordinary items, prior period adjustments, and the provision for income, war profits, and excess profits taxes (subtract line 17 from line 9)</td>
<td>18</td>
<td>-462,550.00</td>
</tr>
<tr>
<td>19 Extraordinary items and prior period adjustments</td>
<td>19</td>
<td>-462,550.00</td>
</tr>
<tr>
<td>20 Provision for income, war profits, and excess profits taxes</td>
<td>20</td>
<td>-462,550.00</td>
</tr>
<tr>
<td>21 Current year net income or (loss) per books (combine lines 18 through 20)</td>
<td>21</td>
<td>-462,550.00</td>
</tr>
</tbody>
</table>
## Schedule E | Income, War Profits, and Excess Profits Taxes Paid or Accrued

<table>
<thead>
<tr>
<th>(a) Name of country or U.S. possession</th>
<th>(b) In foreign currency</th>
<th>(c) Conversion rate</th>
<th>(d) In U.S. dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 U.S.</td>
<td></td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Total</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## Schedule F | Balance Sheet

**Important:** Report all amounts in U.S. dollars prepared and translated in accordance with U.S. GAAP. See instructions for an exception for DASTM corporations.

### Assets

<table>
<thead>
<tr>
<th></th>
<th>Beginning of annual accounting period</th>
<th>End of annual accounting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Cash</td>
<td>0</td>
<td>7,000,000.</td>
</tr>
<tr>
<td>2a Trade notes and accounts receivable</td>
<td>2a</td>
<td></td>
</tr>
<tr>
<td>b Less allowance for bad debts</td>
<td>2b</td>
<td></td>
</tr>
<tr>
<td>3 Inventories</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>4 Other current assets (attach statement)</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>5 Loans to shareholders and other related persons</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>6 Investment in subsidiaries (attach statement)</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>7 Other investments (attach statement)</td>
<td>7</td>
<td></td>
</tr>
<tr>
<td>8a Buildings and other depreciable assets</td>
<td>8a</td>
<td></td>
</tr>
<tr>
<td>b Less accumulated depreciation</td>
<td>8b</td>
<td></td>
</tr>
<tr>
<td>9a Depletable assets</td>
<td>9a</td>
<td></td>
</tr>
<tr>
<td>b Less accumulated depletion</td>
<td>9b</td>
<td></td>
</tr>
<tr>
<td>10 Land (net of any amortization)</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>11 Intangible assets:</td>
<td>11a</td>
<td></td>
</tr>
<tr>
<td>a Goodwill</td>
<td>11b</td>
<td></td>
</tr>
<tr>
<td>b Organization costs</td>
<td>11c</td>
<td></td>
</tr>
<tr>
<td>c Patents, trademarks, and other intangible assets</td>
<td>11d</td>
<td></td>
</tr>
<tr>
<td>d Less accumulated amortization for lines 11a, b, and c</td>
<td>11d</td>
<td></td>
</tr>
<tr>
<td>12 Other assets (attach statement)</td>
<td>12</td>
<td></td>
</tr>
<tr>
<td>13 Total assets</td>
<td>13</td>
<td></td>
</tr>
</tbody>
</table>

### Liabilities and Shareholders' Equity

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>14 Accounts payable</td>
<td>14</td>
</tr>
<tr>
<td>15 Other current liabilities (attach statement)</td>
<td>15</td>
</tr>
<tr>
<td>16 Loans from shareholders and other related persons</td>
<td>16</td>
</tr>
<tr>
<td>17 Other liabilities (attach statement)</td>
<td>17</td>
</tr>
<tr>
<td>18 Capital stock:</td>
<td>18a</td>
</tr>
<tr>
<td>a Preferred stock</td>
<td>18b</td>
</tr>
<tr>
<td>19 Paid-in or capital surplus (attach reconciliation)</td>
<td>19</td>
</tr>
<tr>
<td>20 Retained earnings</td>
<td>20</td>
</tr>
<tr>
<td>21 Less cost of treasury stock</td>
<td>21</td>
</tr>
<tr>
<td>22 Total liabilities and shareholders' equity</td>
<td>22</td>
</tr>
</tbody>
</table>

Form **5471** (Rev. 12-2015)
### Schedule G - Other Information

1. **During the tax year, did the foreign corporation own at least a 10% interest, directly or indirectly, in any foreign partnership?**
   
<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>

   If "Yes," see the instructions for required statement. SEE STATEMENT 5

2. **During the tax year, did the foreign corporation own an interest in any trust?**
   
<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

3. **During the tax year, did the foreign corporation own any foreign entities that were disregarded as entities separate from their owners under Regulations sections 301.7701-2 and 301.7701-3?**
   
   If "Yes," you are generally required to attach Form 8886 for each entity (see instructions).

4. **During the tax year, was the foreign corporation a participant in any cost sharing arrangement?**
   
<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

5. **During the course of the tax year, did the foreign corporation become a participant in any cost sharing arrangement?**
   
<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

6. **During the tax year, did the foreign corporation participate in any reportable transaction as defined in Regulations section 1.6011-4?**
   
   If "Yes," attach Form(s) 8886 if required by Regulations section 1.6011-4(c)(3)(i)(G).

7. **During the tax year, did the foreign corporation pay or accrue any foreign tax that was disqualified for credit under section 901(m)?**
   
<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

8. **During the tax year, did the foreign corporation pay or accrue foreign taxes to which section 909 applies, or treat foreign taxes that were previously suspended under section 909 as no longer suspended?**
   
<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>X</td>
</tr>
</tbody>
</table>

### Schedule H - Current Earnings and Profits

**Important:** Enter the amounts on lines 1 through 5c in functional currency.

1. **Current year net income or (loss) per foreign books of account**

2. **Net adjustments made to line 1 to determine current earnings and profits according to U.S. financial and tax accounting standards**

   - a. Capital gains or losses
   - b. Depreciation and amortization
   - c. Depletion
   - d. Investment or incentive allowance
   - e. Charges to statutory reserves
   - f. Inventory adjustments
   - g. Taxes
   - h. Other (attach statement)

3. **Total net additions**

4. **Total net subtractions**

   - a. Current earnings and profits (line 1 plus line 3 minus line 4)
   - b. DASTM gain or (loss) for foreign corporations that use DASTM
   - c. Combine lines 5a and 5b
   - d. Current earnings and profits in U.S. dollars (line 5c translated at the appropriate exchange rate as defined in section 989(b) and the related regulations)

5. **Enter exchange rate used for line 5d**

### Schedule I - Summary of Shareholder's Income From Foreign Corporation

If item E on page 1 is completed, a separate Schedule I must be filed for each Category 4 or 5 filer for whom reporting is furnished on this Form 5471. This schedule I is being completed for:

<table>
<thead>
<tr>
<th>Name of U.S. shareholder</th>
<th>Identifying number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subpart F income (line 38b, Worksheet A in the instructions)</td>
<td>1</td>
</tr>
<tr>
<td>Earnings invested in U.S. property (line 17, Worksheet B in the instructions)</td>
<td>2</td>
</tr>
<tr>
<td>Previously excluded subpart F income withdrawn from qualified investments (line 6b, Worksheet C in the instructions)</td>
<td>3</td>
</tr>
<tr>
<td>Previously excluded export trade income withdrawn from investment in export trade assets (line 7b, Worksheet D in the instructions)</td>
<td>4</td>
</tr>
<tr>
<td>Factoring income</td>
<td>5</td>
</tr>
<tr>
<td>Total of lines 1 through 5. Enter here and on your income tax return</td>
<td>6</td>
</tr>
<tr>
<td>Dividends received (translated at spot rate on payment date under section 989(b)(1))</td>
<td>7</td>
</tr>
<tr>
<td>Exchange gain or (loss) on a distribution of previously taxed income</td>
<td>8</td>
</tr>
</tbody>
</table>

- **Was any income of the foreign corporation blocked?**
  - Yes  ☐  No  ☐

- **Did any such income become unblocked during the tax year (see section 964(b))?**
  - Yes  ☐  No  ☐

If the answer to either question is "Yes," attach an explanation.
FORM 5471  
AMOUNT AND TYPE OF INDEBTEDNESS OF FOREIGN CORPORATION TO THE RELATED PERSONS DESCRIBED IN REGULATIONS SECTION 1.6046-1(B)(11)  

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

FORM 5471  
NAME, ADDRESS, IDENTIFYING NUMBER AND NUMBER OF SHARES SUBSCRIBED TO BY EACH SUBSCRIBER TO THE STOCK OF THE FOREIGN CORPORATION  

<table>
<thead>
<tr>
<th>NAME AND ADDRESS</th>
<th>IDENTIFYING NUMBER</th>
<th>NUMBER OF SHARES</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

FORM 5471  
OTHER INCOME  

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>FUNCTIONAL CURRENCY</th>
<th>EXCHANGE RATE</th>
<th>U.S. DOLLAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>UNREALIZED APPRECIATION</td>
<td></td>
<td></td>
<td>1,891,036.</td>
</tr>
<tr>
<td>TOTAL TO 5471, SCHEDULE C, LINE 8</td>
<td></td>
<td></td>
<td>1,891,036.</td>
</tr>
</tbody>
</table>

FORM 5471  
OTHER DEDUCTIONS  

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>FUNCTIONAL CURRENCY</th>
<th>EXCHANGE RATE</th>
<th>U.S. DOLLAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>MANAGEMENT FEE</td>
<td></td>
<td></td>
<td>251,706.</td>
</tr>
<tr>
<td>ADMINISTRATIVE FEE</td>
<td></td>
<td></td>
<td>10,760.</td>
</tr>
<tr>
<td>PROFESSIONAL FEES &amp; OTHER</td>
<td></td>
<td></td>
<td>102,194.</td>
</tr>
<tr>
<td>INCENTIVE ALLOCATION TO GP</td>
<td></td>
<td></td>
<td>193,998.</td>
</tr>
<tr>
<td>TOTAL TO 5471, SCHEDULE C, LINE 16</td>
<td></td>
<td></td>
<td>558,658.</td>
</tr>
<tr>
<td><strong>NAME OF FOREIGN PARTNERSHIP</strong></td>
<td>CAT ROCK CAPITAL MASTER FUND, LP</td>
<td></td>
<td></td>
</tr>
<tr>
<td>--------------------------------</td>
<td>----------------------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>EIN, IF ANY</strong></td>
<td>98-1252038</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>TAX MATTERS PARTNER, IF ANY</strong></td>
<td>CAT ROCK CAPITAL LLC</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>FORMS FILED, IF ANY</strong></td>
<td>FORM 1065</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>BEGINNING TAX YEAR DATE</strong></td>
<td>06/16/2015</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>ENDING TAX YEAR DATE</strong></td>
<td>12/31/2015</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**SCHEDULE O**
(Form 5471)

(Rev. December 2012)

**Organization or Reorganization of Foreign Corporation, and Acquisitions and Dispositions of its Stock**

Information about Schedule O (Form 5471) and its instructions is at www.irs.gov/form5471

Attach to Form 5471.

---

**Part I** To Be Completed by U.S. Officers and Directors

<table>
<thead>
<tr>
<th>(a) Name of shareholder for whom acquisition information is reported</th>
<th>(b) Address of shareholder</th>
<th>(c) Identifying number of shareholder</th>
<th>(d) Date of original 10% acquisition</th>
<th>(e) Date of additional 10% acquisition</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Important:** Complete a separate Schedule O for each foreign corporation for which information must be reported.

---

**Part II** To Be Completed by U.S. Shareholders

**Note:** If this return is required because one or more shareholders became U.S. persons, attach a list showing the names of such persons and the date each became a U.S. person.

---

**Section A - General Shareholder Information**

<table>
<thead>
<tr>
<th>(a) Name, address, and identifying number of shareholder(s) filing this schedule</th>
<th>(b) For shareholder’s latest U.S. income tax return filed, indicate:</th>
<th>(c) Date (if any) shareholder last filed information return under section 6546 for the foreign corporation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(1) Type of return (enter form number)</td>
<td>(2) Date return filed</td>
</tr>
<tr>
<td>THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN, INC.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

**Section B - U.S. Persons Who Are Officers or Directors of the Foreign Corporation**

<table>
<thead>
<tr>
<th>(a) Name of U.S. officer or director</th>
<th>(b) Address</th>
<th>(c) Social security number</th>
<th>(d) Check appropriate box(es)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Officer Director</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

**Section C - Acquisition of Stock**

<table>
<thead>
<tr>
<th>(a) Name of shareholder(s) filing this schedule</th>
<th>(b) Class of stock acquired</th>
<th>(c) Date of acquisition</th>
<th>(d) Method of acquisition</th>
<th>(e) Number of shares acquired</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Directly</td>
<td>(2) Indirectly</td>
<td>(3) Constructively</td>
<td></td>
<td></td>
</tr>
<tr>
<td>THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN, INC.</td>
<td>COMMON</td>
<td>10/01/2015</td>
<td>CASH</td>
<td>8,000</td>
</tr>
</tbody>
</table>

---

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 5471.

Schedule O (Form 5471) (Rev. 12-2012)

13300505 755449 9251 2015.03040 THE COMMUNITY FOUNDATION FO 9251__1
### Section D - Disposition of Stock

<table>
<thead>
<tr>
<th>(a) Name of shareholder disposing of stock</th>
<th>(b) Class of stock</th>
<th>(c) Date of disposition</th>
<th>(d) Method of disposition</th>
<th>(e) Number of shares disposed of</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>(1) Directly</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>(f) Amount received</th>
<th>(g) Name and address of person to whom disposition of stock was made</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Section E - Organization or Reorganization of Foreign Corporation

<table>
<thead>
<tr>
<th>(a) Name and address of transferor</th>
<th>(b) Identifying number (if any)</th>
<th>(c) Date of transfer</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>(d) Assets transferred to foreign corporation</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Description of assets</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>

### Section F - Additional Information

(a) If the foreign corporation or a predecessor U.S. corporation filed (or joined with a consolidated group in filing) a U.S. income tax return for any of the last 3 years, attach a statement indicating the year for which a return was filed (and, if applicable, the name of the corporation filing the consolidated return), the taxable income or loss, and the U.S. income tax paid (after all credits).

(b) List the date of any reorganization of the foreign corporation that occurred during the last 4 years while any U.S. person held 10% or more in value or vote (directly or indirectly) of the corporation’s stock.

(c) If the foreign corporation is a member of a group constituting a chain of ownership, attach a chart, for each unit of which a shareholder owns 10% or more in value or voting power of the outstanding stock. The chart must indicate the corporation’s position in the chain of ownership and the percentages of stock ownership (see instructions for an example).
Return by a U.S. Transferor of Property to a Foreign Corporation

Information about Form 926 and its separate instructions is at www.irs.gov/form926.

Attach to your income tax return for the year of the transfer or distribution.

Part I  U.S. Transferor Information (see instructions)

Name of transferor
THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN
Identifying number (see instructions)
06-6032106

1  If the transferor was a corporation, complete questions 1a through 1d.
   a  If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? ☐ Yes ☑ No
   b  Did the transferor remain in existence after the transfer? ☑ Yes ☐ No
      If not, list the controlling shareholder(s) and their identifying number(s):

      Controlling shareholder

      Identifying number

   c  If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? ☑ Yes ☐ No
      If not, list the name and employer identification number (EIN) of the parent corporation:

      Name of parent corporation

      EIN of parent corporation

   d  Have basis adjustments under section 367(a)(5) been made? ☑ Yes ☐ No

2  If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.
   a  List the name and EIN of the transferor’s partnership:

      Name of partnership

      EIN of partnership

   b  Did the partner pick up its pro rata share of gain on the transfer of partnership assets? ☐ Yes ☑ No
   c  Is the partner disposing of its entire interest in the partnership? ☑ Yes ☐ No
   d  Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? ☐ Yes ☑ No

Part II  Transferee Foreign Corporation Information (see instructions)

Name of transferee (foreign corporation)
ACACIA CONSERVATION FUND (OFFSHORE) LTD.
4a  Identifying number, if any

Address (including country)
C/O INTERTRUST CORPORATE SERVICES (CAYMAN) LIMITED 190 ELGIN GEORGE TOWN, GRAND CAYMAN KY1-9005 CAYMAN ISLANDS
4b  Reference ID number

Country code of country of incorporation or organization
CJ

Foreign law characterization (see instructions)

8  Is the transferee foreign corporation a controlled foreign corporation? ☐ Yes ☑ No

LHA  For Paperwork Reduction Act Notice, see separate instructions.

Form 926 (Rev. 12-2013)
### Part III | Information Regarding Transfer of Property (see instructions)

<table>
<thead>
<tr>
<th>Type of property</th>
<th>(a) Date of transfer</th>
<th>(b) Description of property</th>
<th>(c) Fair market value on date of transfer</th>
<th>(d) Cost or other basis</th>
<th>(e) Gain recognized on transfer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>07/01/2015</td>
<td></td>
<td>22,500,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stock and securities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Installment obligations, account receivables or similar property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foreign currency or other property denominated in foreign currency</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets subject to depreciation recapture (see Temp. Regs. sec. 1.367(a)-4T(b))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tangible property used in trade or business not listed under another category</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intangible property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be leased (as described in final and temp. Regs. sec. 1.367(a)-4(c))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be sold (as described in Temp. Regs. sec. 1.367(a)-4T(d))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transfers of oil and gas working interests (as described in Temp. Regs. sec. 1.367(a)-4T(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Supplemental Information Required To Be Reported (see instructions):

---

THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN 06-6032106

07/01/2015 22,500,000.
9. Enter the transferor's interest in the foreign transferee corporation before and after the transfer:

<table>
<thead>
<tr>
<th></th>
<th>Before</th>
<th>After</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)</td>
<td>0.0000%</td>
<td>2.5400%</td>
</tr>
</tbody>
</table>

10. Type of nonrecognition transaction (see instructions) ▶ SECTION 351

11. Indicate whether any transfer reported in Part III is subject to any of the following:

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Gain recognition under section 904(f)(3)</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>b. Gain recognition under section 904(f)(5)(F)</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>c. Recapture under section 1503(d)</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>d. Exchange gain under section 987</td>
<td>☐</td>
<td>☑</td>
</tr>
</tbody>
</table>

12. Did this transfer result from a change in the classification of the transferee to that of a foreign corporation? ☐ Yes ☑ No

13. Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-4 through 1.367(a)-6 for any of the following:

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Tainted property</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>b. Depreciation recapture</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>c. Branch loss recapture</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>d. Any other income recognition provision contained in the above-referenced regulations</td>
<td>☐</td>
<td>☑</td>
</tr>
</tbody>
</table>

14. Did the transferor transfer assets which qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☑ No

15a. Did the transferor transfer foreign goodwill or going concern value as defined in Temporary Regulations section 1.367(a)-1T(d)(5)(iii)? ☐ Yes ☑ No

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>b. If the answer to line 15a is &quot;Yes,&quot; enter the amount of foreign goodwill or going concern value transferred</td>
<td>$</td>
<td></td>
</tr>
</tbody>
</table>

16. Was cash the only property transferred? ☑ Yes ☐ No

17a. Was intangible property (within the meaning of section 936(h)(3)(B)) transferred as a result of the transaction? ☐ Yes ☑ No

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>b. If &quot;Yes,&quot; describe the nature of the rights to the intangible property that was transferred as a result of the transaction:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
## Part I  U.S. Transferor Information (see instructions)

**Name of transferor:**

**THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN**

**Identifying number:**

06-6032106

### Question 1

1. If the transferor was a corporation, complete questions 1a through 1d.
   - a. If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? [ ] Yes [ ] No
   - b. Did the transferor remain in existence after the transfer? [ ] Yes

If not, list the controlling shareholder(s) and their identifying number(s):

<table>
<thead>
<tr>
<th>Controlling shareholder</th>
<th>Identifying number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- c. If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? [ ] Yes [ ] No

If not, list the name and employer identification number (EIN) of the parent corporation:

<table>
<thead>
<tr>
<th>Name of parent corporation</th>
<th>EIN of parent corporation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- d. Have basis adjustments under section 367(a)(5) been made? [ ] Yes [ ] No

### Question 2

2. If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.
   - a. List the name and EIN of the transferor’s partnership:

<table>
<thead>
<tr>
<th>Name of partnership</th>
<th>EIN of partnership</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- b. Did the partner pick up its pro rata share of gain on the transfer of partnership assets? [ ] Yes [ ] No

- c. Is the partner disposing of its entire interest in the partnership? [ ] Yes [ ] No

- d. Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? [ ] Yes [ ] No

## Part II  Transferee Foreign Corporation Information (see instructions)

### Question 3

3. Name of transferee (foreign corporation)

**HITCHWOOD CAPITAL FUND LTD.**

**Identifying number, if any:**

98-1169979

### Question 4

4a. Identifying number, if any

98-1169979

4b. Reference ID number

### Question 5

5. Address (including country)

**MAPLES AND CALDER P.O. BOX 309 UGLAND HOUSE, GRAND CAYMAN KY1-1104 CAYMAN ISLANDS**

### Question 6

6. Country code of country of incorporation or organization

**CJ**

### Question 7

7. Foreign law characterization (see instructions)

**CORPORATION**

### Question 8

8. Is the transferee foreign corporation a controlled foreign corporation? [ ] Yes [ ] No

**LHA**

For Paperwork Reduction Act Notice, see separate instructions.

Form 926 (Rev. 12-2013)
### Part III | Information Regarding Transfer of Property

(see instructions)

<table>
<thead>
<tr>
<th>Type of property</th>
<th>(a) Date of transfer</th>
<th>(b) Description of property</th>
<th>(c) Fair market value on date of transfer</th>
<th>(d) Cost or other basis</th>
<th>(e) Gain recognized on transfer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>06/01/2015</td>
<td></td>
<td>13,000,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stock and securities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Installment obligations,</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>account receivables or similar property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foreign currency or other</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>property denominated in foreign currency</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets subject to depreciation recapture</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(see Temp. Regs. sec. 1.367(a)-4T(b))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tangible property used in trade or business not listed</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>under another category</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intangible property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be leased (as described in final</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>and temp. Regs. sec. 1.367(a)-4(c))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be sold (as described in Temp. Regs. sec.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.367(a)-4T(d))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transfers of oil and gas working interests (as</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>described in Temp. Regs. sec. 1.367(a)-4T(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Supplemental Information Required To Be Reported** (see instructions):

---

13300505 755449 9251 2015.03040 THE COMMUNITY FOUNDATION FO 9251__1
9. Enter the transferor’s interest in the foreign transferee corporation before and after the transfer:

(a) Before ___%  (b) After ___%  

10. Type of nonrecognition transaction (see instructions)

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

11. Indicate whether any transfer reported in Part III is subject to any of the following:

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

12. Did this transfer result from a change in the classification of the transferee to that of a foreign corporation? Yes No

13. Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-4 through 1.367(a)-6 for any of the following:

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

14. Did the transferor transfer assets which qualify for the trade or business exception under section 367(a)(3)? Yes No

15a. Did the transferor transfer foreign goodwill or going concern value as defined in Temporary Regulations section 1.367(a)-1T(d)(5)(iii)? Yes No

b. If the answer to line 15a is “Yes,” enter the amount of foreign goodwill or going concern value transferred

$ ____________

16. Was cash the only property transferred? Yes No

17a. Was intangible property (within the meaning of section 936(h)(3)(B)) transferred as a result of the transaction? Yes No

b. If “Yes,” describe the nature of the rights to the intangible property that was transferred as a result of the transaction:

________________________________________________________________________________________

________________________________________________________________________________________
## Part I U.S. Transferor Information (see instructions)

**Name of transferor**

THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN

<table>
<thead>
<tr>
<th>Identifying number (see instructions)</th>
</tr>
</thead>
<tbody>
<tr>
<td>06-6032106</td>
</tr>
</tbody>
</table>

1. If the transferor was a corporation, complete questions 1a through 1d.
   - **a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? [ ] Yes [ ] No
   - **b** Did the transferor remain in existence after the transfer? [ ] Yes [ ] No
     - If not, list the controlling shareholder(s) and their identifying number(s):

<table>
<thead>
<tr>
<th>Controlling shareholder</th>
<th>Identifying number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2. **c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? [ ] Yes [ ] No
   - If not, list the name and employer identification number (EIN) of the parent corporation:

<table>
<thead>
<tr>
<th>Name of parent corporation</th>
<th>EIN of parent corporation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| d Have basis adjustments under section 367(a)(5) been made? [ ] Yes [ ] No
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

## Part II Transferee Foreign Corporation Information (see instructions)

3. Name of transferee (foreign corporation)

PERMIAN FUND

4. **a** Identifying number, if any

<table>
<thead>
<tr>
<th>4a Identifying number, if any</th>
</tr>
</thead>
<tbody>
<tr>
<td>98-0583474</td>
</tr>
</tbody>
</table>

5. Address (including country)

295 MADISON AVENUE, 34TH FLOOR
NEW YORK, NY 10017

6. Country code of country of incorporation or organization

CJ

7. Foreign law characterization (see instructions)

CORPORATION

8. **Is the transferee foreign corporation a controlled foreign corporation?** [ ] Yes [ ] No

<table>
<thead>
<tr>
<th>8 Is the transferee foreign corporation a controlled foreign corporation?</th>
</tr>
</thead>
<tbody>
<tr>
<td>[ ] Yes [ ] No</td>
</tr>
</tbody>
</table>

LHA 524531 04-01-15

For Paperwork Reduction Act Notice, see separate instructions.
### Part III | Information Regarding Transfer of Property

#### (see instructions)

<table>
<thead>
<tr>
<th>Type of property</th>
<th>(a) Date of transfer</th>
<th>(b) Description of property</th>
<th>(c) Fair market value on date of transfer</th>
<th>(d) Cost or other basis</th>
<th>(e) Gain recognized on transfer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>05/01/2015</td>
<td></td>
<td>4,000,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stock and securities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Installment obligations, account receivables or similar property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foreign currency or other property denominated in foreign currency</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets subject to depreciation recapture (see Temp. Regs. sec. 1.367(a)-4T(b))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tangible property used in trade or business not listed under another category</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intangible property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be leased (as described in final and temp. Regs. sec. 1.367(a)-4(c))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be sold (as described in Temp. Regs. sec. 1.367(a)-4T(d))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transfers of oil and gas working interests (as described in Temp. Regs. sec. 1.367(a)-4T(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Supplemental Information Required To Be Reported** (see instructions):

---

524532
04-01-15

13300505 755449 9251 2015.03040 THE COMMUNITY FOUNDATION FO 9251_1
Part IV | Additional Information Regarding Transfer of Property (see instructions)

9 Enter the transferor's interest in the foreign transferee corporation before and after the transfer:

(a) Before ______%  (b) After ______%  4,840%

10 Type of nonrecognition transaction (see instructions) ▶

SECTION 351

11 Indicate whether any transfer reported in Part III is subject to any of the following:

a Gain recognition under section 904(f)(3) □ Yes  □ No

b Gain recognition under section 904(f)(5)(F) □ Yes  □ No

c Recapture under section 1503(d) □ Yes  □ No

d Exchange gain under section 987 □ Yes  □ No

12 Did this transfer result from a change in the classification of the transferee to that of a foreign corporation? □ Yes  □ No

13 Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-4 through 1.367(a)-6 for any of the following:

a Tainted property □ Yes  □ No

b Depreciation recapture □ Yes  □ No

c Branch loss recapture □ Yes  □ No

d Any other income recognition provision contained in the above-referenced regulations □ Yes  □ No

14 Did the transferor transfer assets which qualify for the trade or business exception under section 367(a)(3)? □ Yes  □ No

15a Did the transferor transfer foreign goodwill or going concern value as defined in Temporary Regulations section 1.367(a)-1T(d)(5)(iii)? □ Yes  □ No

b If the answer to line 15a is "Yes," enter the amount of foreign goodwill or going concern value transferred ▶  $

16 Was cash the only property transferred? □ Yes  □ No

17a Was intangible property (within the meaning of section 936(h)(3)(B)) transferred as a result of the transaction? □ Yes  □ No

b If "Yes," describe the nature of the rights to the intangible property that was transferred as a result of the transaction:

________________________________________________________________________

________________________________________________________________________
## Part I U.S. Transferor Information

**Name of transferor**

THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN

<table>
<thead>
<tr>
<th>Identifying number (see instructions)</th>
<th>06-6032106</th>
</tr>
</thead>
</table>

1. If the transferor was a corporation, complete questions 1a through 1d.
   - **a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? [ ] Yes [ ] No
   - **b** Did the transferor remain in existence after the transfer? [ ] Yes [ ] No

   If not, list the controlling shareholder(s) and their identifying number(s):

<table>
<thead>
<tr>
<th>Controlling shareholder</th>
<th>Identifying number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   - **c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? [ ] Yes [ ] No

   If not, list the name and employer identification number (EIN) of the parent corporation:

<table>
<thead>
<tr>
<th>Name of parent corporation</th>
<th>EIN of parent corporation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   - **d** Have basis adjustments under section 367(a)(5) been made? [ ] Yes [ ] No

2. If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.
   - **a** List the name and EIN of the transferor's partnership:

<table>
<thead>
<tr>
<th>Name of partnership</th>
<th>EIN of partnership</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   - **b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets? [ ] Yes [ ] No
   - **c** Is the partner disposing of its entire interest in the partnership? [ ] Yes [ ] No
   - **d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? [ ] Yes [ ] No

## Part II Transferee Foreign Corporation Information

**Name of transferee (foreign corporation)**

CEVIAN CAPITAL II LTD

<table>
<thead>
<tr>
<th>4a Identifying number, if any</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

5. Address (including country)

C/O ELIAN FIDUCIARY SERVICES 89 NEXUS WAY
CAMANA BAY, GRAND CAYMAN CAYMAN ISLANDS

<table>
<thead>
<tr>
<th>4b Reference ID number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

6. Country code of country of incorporation or organization

CJ

<table>
<thead>
<tr>
<th>7 Foreign law characterization (see instructions)</th>
</tr>
</thead>
<tbody>
<tr>
<td>CORPORATION</td>
</tr>
</tbody>
</table>

8. Is the transferee foreign corporation a controlled foreign corporation? [ ] Yes [ ] No

LHA 504531
04-01-15

For Paperwork Reduction Act Notice, see separate instructions.

Form 926 (Rev. 12-2013)

13300505 755449 9251 2015.03040 THE COMMUNITY FOUNDATION FO 9251___1
### Information Regarding Transfer of Property

<table>
<thead>
<tr>
<th>Type of property</th>
<th>(a) Date of transfer</th>
<th>(b) Description of property</th>
<th>(c) Fair market value on date of transfer</th>
<th>(d) Cost or other basis</th>
<th>(e) Gain recognized on transfer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>06/01/2015</td>
<td></td>
<td>5,000,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stock and securities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Installment obligations, account receivables or similar property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foreign currency or other property denominated in foreign currency</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Assets subject to depreciation recapture
  (see Temp. Regs. sec. 1.367(a)-4T(b)) | |                             |                                          |                        |                                |
| Tangible property used in trade or business not listed under another category | |                             |                                          |                        |                                |
| Intangible property |                    |                             |                                          |                        |                                |
| Property to be leased
  (as described in final and temp. Regs. sec. 1.367(a)-4T(c)) | |                             |                                          |                        |                                |
| Property to be sold
  (as described in Temp. Regs. sec. 1.367(a)-4T(d)) | |                             |                                          |                        |                                |
| Transfers of oil and gas working interests (as described in Temp. Regs. sec. 1.367(a)-4T(e)) | |                             |                                          |                        |                                |
| Other property   |                      |                             |                                          |                        |                                |

**Supplemental Information Required To Be Reported**

---

Form 926 (Rev. 12-2013)
### Part IV | Additional Information Regarding Transfer of Property (see instructions)

9. Enter the transferor's interest in the foreign transferee corporation before and after the transfer:

<table>
<thead>
<tr>
<th></th>
<th>(a) Before</th>
<th>(b) After</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>.2837 %</td>
<td>.2088 %</td>
</tr>
</tbody>
</table>

10. Type of nonrecognition transaction (see instructions)

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

11. Indicate whether any transfer reported in Part III is subject to any of the following:

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

12. Did this transfer result from a change in the classification of the transferee to that of a foreign corporation?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

13. Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-4 through 1.367(a)-6 for any of the following:

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

14. Did the transferor transfer assets which qualify for the trade or business exception under section 367(a)(3)?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

15a. Did the transferor transfer foreign goodwill or going concern value as defined in Temporary Regulations section 1.367(a)-1T(d)(5)(ii)?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

b. If the answer to line 15a is "Yes," enter the amount of foreign goodwill or going concern value transferred:

<table>
<thead>
<tr>
<th></th>
<th>$</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

16. Was cash the only property transferred?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

17a. Was intangible property (within the meaning of section 936(h)(3)(B)) transferred as a result of the transaction?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

b. If "Yes," describe the nature of the rights to the intangible property that was transferred as a result of the transaction:

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

Form 926 (Rev. 12-2013)
Return by a U.S. Transferor of Property to a Foreign Corporation

Part I  U.S. Transferor Information (see instructions)

<table>
<thead>
<tr>
<th>Name of transferor</th>
<th>Identifying number (see instructions)</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN</td>
<td>06-0632106</td>
</tr>
</tbody>
</table>

1  If the transferor was a corporation, complete questions 1a through 1d.
   a  If the transferor was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations?  
      □ Yes  □ No
   b  Did the transferor remain in existence after the transfer?  
      □ Yes  □ No
      If not, list the controlling shareholder(s) and their identifying number(s):

<table>
<thead>
<tr>
<th>Controlling shareholder</th>
<th>Identifying number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   c  If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation?  
      □ Yes  □ No
      If not, list the name and employer identification number (EIN) of the parent corporation:

<table>
<thead>
<tr>
<th>Name of parent corporation</th>
<th>EIN of parent corporation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   d  Have basis adjustments under section 367(a)(5) been made?  
      □ Yes  □ No

2  If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.
   a  List the name and EIN of the transferor’s partnership:

<table>
<thead>
<tr>
<th>Name of partnership</th>
<th>EIN of partnership</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   b  Did the partner pick up its pro rata share of gain on the transfer of partnership assets?  
      □ Yes  □ No
   c  Is the partner disposing of its entire interest in the partnership?  
      □ Yes  □ No
   d  Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market?  
      □ Yes  □ No

Part II  Transferee Foreign Corporation Information (see instructions)

<table>
<thead>
<tr>
<th>Name of transferee (foreign corporation)</th>
<th>Identifying number, if any</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE CANYON VALUE REALIZATION FUND (CAYMAN), LTD.</td>
<td>98-0417097</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Address (including country)</th>
<th>Reference ID number</th>
</tr>
</thead>
<tbody>
<tr>
<td>C/O MAPLES CORPORATE SERVICES LIMITED PO BOX 309 UGLAND HOUSE, GRAND CAYMAN KY1-1104 CAYMAN ISLANDS</td>
<td></td>
</tr>
</tbody>
</table>

| Country code of country of incorporation or organization | |
|----------------------------------------------------------| |
|                                                          | |

7  Foreign law characterization (see instructions)
   CORPORATION

<table>
<thead>
<tr>
<th>Is the transferee foreign corporation a controlled foreign corporation?</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Yes  □ No</td>
</tr>
</tbody>
</table>

For Paperwork Reduction Act Notice, see separate instructions.
### Part III Information Regarding Transfer of Property

(see instructions)

<table>
<thead>
<tr>
<th>Type of property</th>
<th>(a) Date of transfer</th>
<th>(b) Description of property</th>
<th>(c) Fair market value on date of transfer</th>
<th>(d) Cost or other basis</th>
<th>(e) Gain recognized on transfer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>06/01/2015</td>
<td></td>
<td>7,500,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stock and securities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Installment obligations, account receivables or similar property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foreign currency or other property denominated in foreign currency</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets subject to depreciation recapture</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tangible property used in trade or business not listed under another category</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intangible property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be leased (as described in final and temp. Regs. sec. 1.367(a)-4(c))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be sold (as described in Temp. Regs. sec. 1.367(a)-4(d))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transfers of oil and gas working interests (as described in Temp. Regs. sec. 1.367(a)-4(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Supplemental Information Required To Be Reported**

(see instructions):

---

Form 926 (Rev. 12-2013)
### Part IV | Additional Information Regarding Transfer of Property

9. Enter the transferor's interest in the foreign transferee corporation before and after the transfer:

<table>
<thead>
<tr>
<th></th>
<th>Before</th>
<th>After</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)</td>
<td></td>
<td>0.0000 %</td>
</tr>
<tr>
<td>(b)</td>
<td></td>
<td>0.0200 %</td>
</tr>
</tbody>
</table>

10. Type of nonrecognition transaction (see instructions)

#### SECTION 351

11. Indicate whether any transfer reported in Part III is subject to any of the following:

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>Gain recognition under section 904(f)(3)</td>
<td>☐ Yes ☑ No</td>
</tr>
<tr>
<td>b</td>
<td>Gain recognition under section 904(f)(5)(F)</td>
<td>☐ Yes ☑ No</td>
</tr>
<tr>
<td>c</td>
<td>Recapture under section 1503(d)</td>
<td>☐ Yes ☑ No</td>
</tr>
<tr>
<td>d</td>
<td>Exchange gain under section 987</td>
<td>☐ Yes ☑ No</td>
</tr>
</tbody>
</table>

12. Did this transfer result from a change in the classification of the transferee to that of a foreign corporation? ☑ Yes ☐ No

13. Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-4 through 1.367(a)-6 for any of the following:

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>Tainted property</td>
<td>☐ Yes ☑ No</td>
</tr>
<tr>
<td>b</td>
<td>Depreciation recapture</td>
<td>☐ Yes ☑ No</td>
</tr>
<tr>
<td>c</td>
<td>Branch loss recapture</td>
<td>☐ Yes ☑ No</td>
</tr>
<tr>
<td>d</td>
<td>Any other income recognition provision contained in the above-referenced regulations</td>
<td>☐ Yes ☑ No</td>
</tr>
</tbody>
</table>

14. Did the transferor transfer assets which qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☑ No

15a. Did the transferor transfer foreign goodwill or going concern value as defined in Temporary Regulations section 1.367(a)-1T(d)(5)(iii)? ☐ Yes ☑ No

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
</table>
| b | If the answer to line 15a is "Yes," enter the amount of foreign goodwill or going concern value transferred | $ |}

16. Was cash the only property transferred? ☑ Yes ☐ No

17a. Was intangible property (within the meaning of section 936(h)(3)(B)) transferred as a result of the transaction? ☑ Yes ☐ No

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>b</td>
<td>If &quot;Yes,&quot; describe the nature of the rights to the intangible property that was transferred as a result of the transaction:</td>
<td></td>
</tr>
</tbody>
</table>
### U.S. Transferor Information

1. **If the transferor was a corporation, complete questions 1a through 1d.**
   - **a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? [ ] Yes [ ] No
   - **b** Did the transferor remain in existence after the transfer? [ ] Yes [ ] No
     - If not, list the controlling shareholder(s) and their identifying number(s):

<table>
<thead>
<tr>
<th>Controlling shareholder</th>
<th>Identifying number</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   - **c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? [ ] Yes [ ] No
     - If not, list the name and employer identification number (EIN) of the parent corporation:

<table>
<thead>
<tr>
<th>Name of parent corporation</th>
<th>EIN of parent corporation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   - **d** Have basis adjustments under section 367(a)(5) been made? [ ] Yes [ ] No

2. **If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.**
   - **a** List the name and EIN of the transferor's partnership:

<table>
<thead>
<tr>
<th>Name of partnership</th>
<th>EIN of partnership</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   - **b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets? [ ] Yes [ ] No
   - **c** Is the partner disposing of its entire interest in the partnership? [ ] Yes [ ] No
   - **d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? [ ] Yes [ ] No

### Transferee Foreign Corporation Information

3. **Name of transferee (foreign corporation)**

<table>
<thead>
<tr>
<th>CAT ROCK CAPITAL PARTNERS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

4. **Identifying number, if any**

<table>
<thead>
<tr>
<th>98–1252035</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

5. **Address (including country)**

<table>
<thead>
<tr>
<th>MAPLES CORPORATE SERVICES, LTD. PO BOX 309</th>
</tr>
</thead>
<tbody>
<tr>
<td>UGLAND HOUSE, GRAND CAYMAN KY1–1104 CAYMAN ISLANDS</td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>

6. **Country code of country of incorporation or organization**

   | CJ |
   |    |

7. **Foreign law characterization (see instructions)**

<table>
<thead>
<tr>
<th>CAYMAN ISLANDS EXEMPTED COMPANY</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

8. **Is the transferee foreign corporation a controlled foreign corporation?** [ ] Yes [ ] No

<table>
<thead>
<tr>
<th>LHA 524531 04-01-15</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

---

**For Paperwork Reduction Act Notice, see separate instructions.**

Form 926 (Rev. 12-2013)
### Part III | Information Regarding Transfer of Property (see instructions)

<table>
<thead>
<tr>
<th>Type of property</th>
<th>(a) Date of transfer</th>
<th>(b) Description of property</th>
<th>(c) Fair market value on date of transfer</th>
<th>(d) Cost or other basis</th>
<th>(e) Gain recognized on transfer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>09/30/2015</td>
<td></td>
<td>8,000,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stock and securities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Installment obligations, account receivables or similar property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foreign currency or other property denominated in foreign currency</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets subject to depreciation recapture (see Temp. Regs. sec. 1.367(a)-4T(b))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tangible property used in trade or business not listed under another category</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intangible property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be leased (as described in final and temp. Regs. sec. 1.367(a)-4T(c))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be sold (as described in Temp. Regs. sec. 1.367(a)-4T(d))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transfers of oil and gas working interests (as described in Temp. Regs. sec. 1.367(a)-4T(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Supplemental Information Required To Be Reported** (see instructions):

---

Form 926 (Rev. 12-2013)
9 Enter the transferor's interest in the foreign transferee corporation before and after the transfer:

(a) Before __________ % (b) After __________ %

10 Type of nonrecognition transaction (see instructions) ▶ SECTION 351

11 Indicate whether any transfer reported in Part III is subject to any of the following:
   a Gain recognition under section 904(f)(3) □ Yes □ No
   b Gain recognition under section 904(f)(5)(F) □ Yes □ No
   c Recapture under section 1503(d) □ Yes □ No
   d Exchange gain under section 987 □ Yes □ No

12 Did this transfer result from a change in the classification of the transferee to that of a foreign corporation? □ Yes □ No

13 Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-4 through 1.367(a)-6 for any of the following:
   a Tainted property □ Yes □ No
   b Depreciation recapture □ Yes □ No
   c Branch loss recapture □ Yes □ No
   d Any other income recognition provision contained in the above-referenced regulations □ Yes □ No

14 Did the transferor transfer assets which qualify for the trade or business exception under section 367(a)(3)? □ Yes □ No

15a Did the transferor transfer foreign goodwill or going concern value as defined in Temporary Regulations section 1.367(a)-1T(d)(5)(iii)? □ Yes □ No
   b If the answer to line 15a is ‘Yes,” enter the amount of foreign goodwill or going concern value transferred ▶ $ ____________________

16 Was cash the only property transferred? □ Yes □ No

17a Was intangible property (within the meaning of section 936(h)(3)(B)) transferred as a result of the transaction? □ Yes □ No
   b If “Yes,” describe the nature of the rights to the intangible property that was transferred as a result of the transaction:

________________________________________________________________________

________________________________________________________________________
Return by a U.S. Transferor of Property to a Foreign Corporation

Name of transferor
THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN

Identifying number
06-6032106

1 If the transferor was a corporation, complete questions 1a through 1d.
   a If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? □ Yes □ No
   b Did the transferor remain in existence after the transfer? □ Yes □ No

   If not, list the controlling shareholder(s) and their identifying number(s):

   Controlling shareholder

   Identifying number

   c If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? □ Yes □ No

   If not, list the name and employer identification number (EIN) of the parent corporation:

   Name of parent corporation

   EIN of parent corporation

   d Have basis adjustments under section 367(a)(5) been made? □ Yes □ No

2 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.
   a List the name and EIN of the transferor’s partnership:

   Name of partnership

   EIN of partnership

   b Did the partner pick up its pro rata share of gain on the transfer of partnership assets? □ Yes □ No
   c Is the partner disposing of its entire interest in the partnership? □ Yes □ No
   d Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? □ Yes □ No

Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation)

ECM FEEDER FUND 1

4a Identifying number, if any

98–1088924

5 Address (including country)

24 SHEDDEN ROAD

GEORGE TOWN, GRAND CAYMAN KY1–1110 CAYMAN ISLANDS

4b Reference ID number

6 Country code of country of incorporation or organization

CJ

7 Foreign law characterization (see instructions)

UNIT TRUST

LHA For Paperwork Reduction Act Notice, see separate instructions.

8 Is the transferee foreign corporation a controlled foreign corporation? □ Yes □ No

Form 926 (Rev. 12-2013)
### Part III | Information Regarding Transfer of Property

(see instructions)

<table>
<thead>
<tr>
<th>Type of property</th>
<th>(a) Date of transfer</th>
<th>(b) Description of property</th>
<th>(c) Fair market value on date of transfer</th>
<th>(d) Cost or other basis</th>
<th>(e) Gain recognized on transfer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>06/01/2015</td>
<td></td>
<td>10,000,000.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stock and securities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Installment obligations, account receivables or similar property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foreign currency or other property denominated in foreign currency</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets subject to depreciation recapture (see Temp. Regs. sec. 1.367(a)-4T(b))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tangible property used in trade or business not listed under another category</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intangible property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be leased (as described in final and temp. Regs. sec. 1.367(a)-4(c))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Property to be sold (as described in Temp. Regs. sec. 1.367(a)-4T(d))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transfers of oil and gas working interests (as described in Temp. Regs. sec. 1.367(a)-4T(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other property</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Supplemental Information Required To Be Reported** (see instructions):

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________
### Part IV | Additional Information Regarding Transfer of Property

(see instructions)

9. Enter the transferor's interest in the foreign transferee corporation before and after the transfer:

<table>
<thead>
<tr>
<th>(a) Before</th>
<th>(b) After</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.0000 %</td>
<td>0.2000 %</td>
</tr>
</tbody>
</table>

10. Type of nonrecognition transaction (see instructions)

<table>
<thead>
<tr>
<th></th>
<th>SECTION 351</th>
</tr>
</thead>
</table>

11. Indicate whether any transfer reported in Part III is subject to any of the following:

<table>
<thead>
<tr>
<th></th>
<th>Gain recognition under section 904(f)(3)</th>
<th>Gain recognition under section 904(f)(5)(F)</th>
<th>Recapture under section 1503(d)</th>
<th>Exchange gain under section 987</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
</tr>
<tr>
<td>b</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
</tr>
<tr>
<td>c</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
</tr>
<tr>
<td>d</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
</tr>
</tbody>
</table>

12. Did this transfer result from a change in the classification of the transferee to that of a foreign corporation? [ ] Yes [ ] No

13. Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-4 through 1.367(a)-6 for any of the following:

<table>
<thead>
<tr>
<th></th>
<th>Tainted property</th>
<th>Depreciation recapture</th>
<th>Branch loss recapture</th>
<th>Any other income recognition provision contained in the above-referenced regulations</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
</tr>
<tr>
<td>b</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
</tr>
<tr>
<td>c</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
</tr>
<tr>
<td>d</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
<td>[ ] Yes</td>
<td>[ ] No</td>
</tr>
</tbody>
</table>

14. Did the transferor transfer assets which qualify for the trade or business exception under section 367(a)(3)? [ ] Yes [ ] No

15a. Did the transferor transfer foreign goodwill or going concern value as defined in Temporary Regulations section 1.367(a)-1T(d)(5)(iii)? [ ] Yes [ ] No

b. If the answer to line 15a is “Yes,” enter the amount of foreign goodwill or going concern value transferred $ ___________

16. Was cash the only property transferred? [ ] Yes [ ] No

17a. Was intangible property (within the meaning of section 936(h)(3)(B)) transferred as a result of the transaction? [ ] Yes [ ] No

b. If “Yes,” describe the nature of the rights to the intangible property that was transferred as a result of the transaction:

__________________________________________________________________________________________

__________________________________________________________________________________________

__________________________________________________________________________________________

__________________________________________________________________________________________

__________________________________________________________________________________________

__________________________________________________________________________________________

__________________________________________________________________________________________

__________________________________________________________________________________________

__________________________________________________________________________________________