

# **The Community Foundation *for* Greater New Haven, Inc.**

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New Haven, CT 06510

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[www.cfgnh.org](http://www.cfgnh.org)

February 22, 2019

Form ADV Part 2B – Brochure Supplement

*For*

**Andrew F. Alden**

**Senior Vice President for Investments, Chief Financial Officer, and Chief Compliance Officer**

This brochure supplement provides information about Andrew F. Alden that supplements The Community Foundation for Greater New Haven, Inc. brochure. A copy of that brochure precedes this supplement. Please contact Mr. Alden if the brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Andrew F. Alden is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

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### **Andrew F. Alden**

#### Education

- 1988 – M.S. Finance, Rensselaer Polytechnic Institute
- 1986 – B.A. Economics, Trinity College

#### Business Activities

Mr. Alden joined The Community Foundation in 1994 and serves as the Senior Vice President for Investments, Chief Financial Officer, and Chief Compliance Officer. In his role, he oversees the investment, finance, audit, tax, compliance, regulatory, information management, and administrative facets of The Community Foundation and The Public Charity. Mr. Alden spends a portion of his time focusing on the non-investment advisory activities of The Community Foundation and The Public Charity. He also serves as CFO of the Public Charity's affiliated entity, The Valley Foundation, and serves as President and CEO of The Community Foundation Mission Investments Company, LLC (TCF-MIC), which is a wholly-owned and controlled subsidiary of TCF and is dedicated to mission investment activities.

## Item 3: Disciplinary Information

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Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4: Other Business Activities

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Please see "Business Activities" listed above.

## Item 5: Additional Compensation

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Mr. Alden does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through The Community Foundation for Greater New Haven, Inc.

## Item 6: Supervision

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William W. Ginsberg, as President and CEO of The Community Foundation is responsible for supervision of Mr. Alden. He may be contacted at the phone number on this brochure supplement.

## Item 7: Requirements for State Registered Advisers

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1. Mr. Alden has NOT been involved in any of the events listed below.
  - a. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - i. An investment or an investment-related business or activity;
    - ii. Fraud, false statements, or omissions;
    - iii. Theft, embezzlement, or other wrongful taking of property;
    - iv. Bribery, forgery, counterfeiting, or extortion; or
    - v. Dishonest, unfair, or unethical practices.
  - b. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - i. An investment or an investment-related business or activity;
    - ii. Fraud, false statements, or omissions;
    - iii. Theft, embezzlement, or other wrongful taking of property;
    - iv. Bribery, forgery, counterfeiting, or extortion; or
    - v. Dishonest, unfair, or unethical practices.
2. Mr. Alden has NOT been the subject of a bankruptcy petition at any time.